

Multileaf Collimator Field Segmentation without Tongue-and-groove Effect

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- 1 Introduction
- 2 The mathematical Model
- 3 The lower bound
- 4 The Algorithm
- 5 Test results
- 6 Conclusion and open problems

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A treatment couch



Intensity modulated Radiation therapy (IMRT)

- Goal: effective destruction of the tumor while maintaining the functionality of the healthy tissue.

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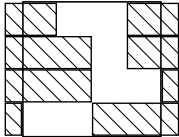
Intensity modulated Radiation therapy (IMRT)

- Goal: effective destruction of the tumor while maintaining the functionality of the healthy tissue.
- A homogeneous field is emitted from the linear accelerator.
- For a higher resolution in the irradiated area a modulation of the intensity is helpful.
- The modulation should be obtained by a relative simple but flexible technology.

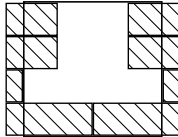
A multileaf collimator



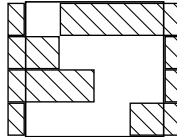
Modulation by superposition of homogeneous fields



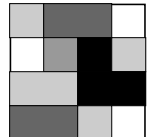
2 MU



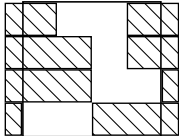
1 MU



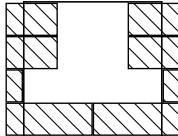
1 MU



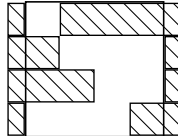
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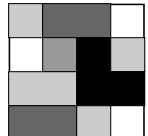
2 MU



1 MU



1 MU



$$2 \cdot \begin{pmatrix} 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 3 & 3 & 0 \\ 0 & 2 & 4 & 1 \\ 1 & 1 & 4 & 4 \\ 3 & 3 & 1 & 0 \end{pmatrix}$$

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- Characterization of the required fluence distribution by an integer matrix.
- Example:

$$A = \begin{pmatrix} 4 & 5 & 0 & 1 & 4 & 5 \\ 2 & 4 & 1 & 3 & 1 & 4 \\ 2 & 3 & 2 & 1 & 2 & 4 \\ 5 & 3 & 3 & 2 & 5 & 3 \end{pmatrix}$$

The homogeneous fields

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$$\begin{pmatrix} 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

A Segmentation

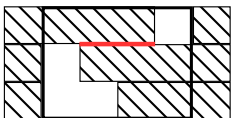
$$\begin{pmatrix} 4 & 5 & 0 & 1 & 4 & 5 \\ 2 & 4 & 1 & 3 & 1 & 4 \\ 2 & 3 & 2 & 1 & 2 & 4 \\ 5 & 3 & 3 & 2 & 5 & 3 \end{pmatrix} = 3 \begin{pmatrix} 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix} + 3 \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \\ + 1 \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 \end{pmatrix} + 1 \begin{pmatrix} 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix} + 1 \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 0 \end{pmatrix} \\ + 1 \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

The interleaf collision constraint (ICC)

- no overlapping of opposite leaves in consecutive rows

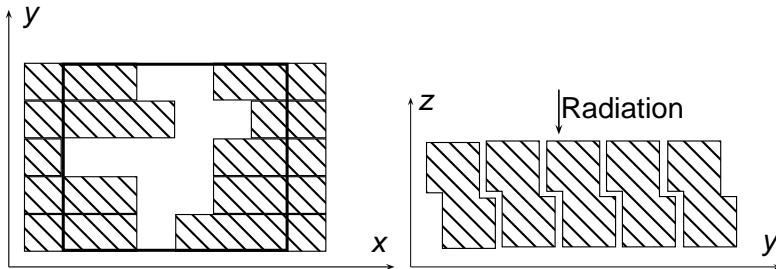
The interleaf collision constraint (ICC)

- no overlapping of opposite leaves in consecutive rows
- Example:



$\Rightarrow \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \end{pmatrix}$ is not a segment.

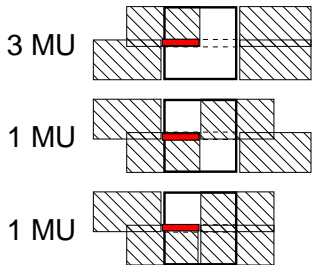
The Tongue-and-Groove design



$$A = \begin{pmatrix} 2 & 3 \\ 3 & 4 \end{pmatrix}$$

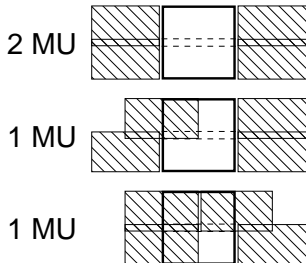
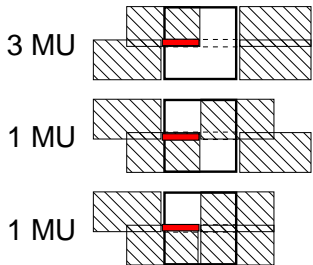
TG-underdosage

$$A = \begin{pmatrix} 2 & 3 \\ 3 & 4 \end{pmatrix}$$



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The Tongue-and-groove constraint (TGC)

$$a_{i,j} \leq a_{i+1,j} \wedge s_{i,j} = 1 \Rightarrow s_{i+1,j} = 1 \quad (i \in [m-1], j \in [n]),$$

$$a_{i,j} \leq a_{i-1,j} \wedge s_{i,j} = 1 \Rightarrow s_{i-1,j} = 1 \quad (i \in [2, m], j \in [n]).$$

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- The overlap between (i, j) and $(i+1, j)$ receives a fluence of $\min\{a_{i,j}, a_{i+1,j}\}$.

Definition. *A*-segment

An *A-segment* is an $m \times n$ -matrix $S = (s_{i,j})$ with entries from $\{0, 1\}$, such that there exist integers l_i, r_i ($i \in [m]$) with:

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- $l_i \leq r_i + 1 \quad (i \in [m])$
- $s_{i,j} = \begin{cases} 1 & \text{if } l_i \leq j \leq r_i \\ 0 & \text{otherwise} \end{cases} \quad (i \in [m], j \in [n])$

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and we have

- TGC:

$$\begin{aligned} a_{i,j} \leq a_{i+1,j} \wedge s_{i,j} = 1 &\Rightarrow s_{i+1,j} = 1 \quad (i \in [m-1], j \in [n]), \\ a_{i,j} \leq a_{i-1,j} \wedge s_{i,j} = 1 &\Rightarrow s_{i-1,j} = 1 \quad (i \in [2, m], j \in [n]). \end{aligned}$$

The segmentation problem

- Input: a nonnegative integer $m \times n$ -matrix A .
- Output: A segmentation $A = \sum_{i=1}^k \lambda_i S_i$ with
 - small total irradiation time, $TNMU := \sum_{i=1}^k \lambda_i \rightarrow \min$
 - a small number of segments, $k \rightarrow \min$

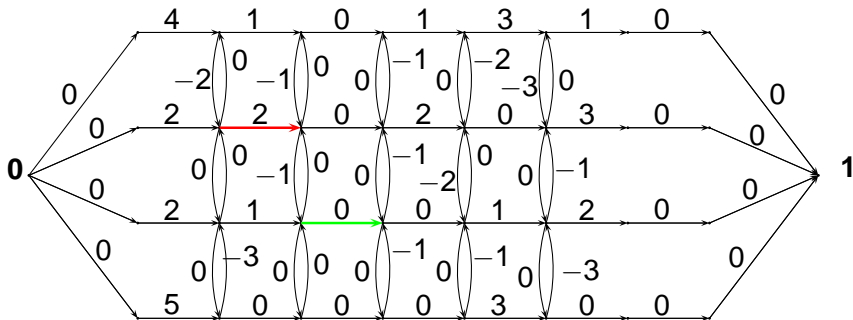
The segmentation problem

$$(P) \left\{ \begin{array}{ll} \sum_S \lambda_S \rightarrow \min & \text{subject to} \\ \lambda_S \geq 0 & \forall \text{ segments } S, \\ \sum_{S: s_{i,j}=1} \lambda_S = a_{i,j} & \forall (i,j) \in [m] \times [n]. \end{array} \right.$$

The dual problem

$$(D) \left\{ \begin{array}{ll} \sum_{(i,j) \in [m] \times [n]} a_{i,j} g(i,j) & \rightarrow \max \quad \text{subject to} \\ \sum_{(i,j): s_{i,j}=1} g(i,j) & \leq 1 \quad \forall \text{ segments } S. \end{array} \right.$$

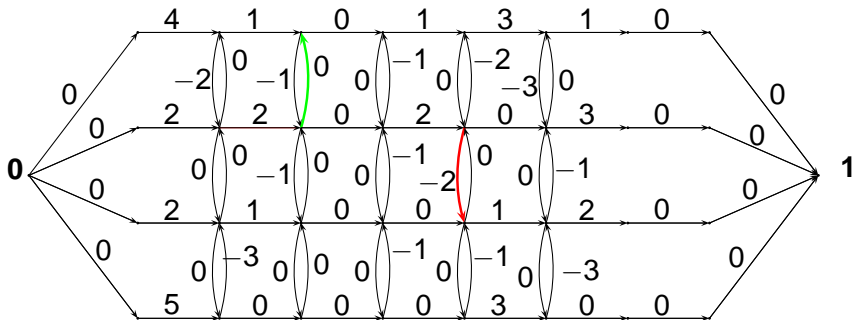
The segmentation graph



$$A = \begin{pmatrix} 4 & 5 & 0 & 1 & 4 & 5 \\ 2 & 4 & 1 & 3 & 1 & 4 \\ 2 & 3 & 2 & 1 & 2 & 4 \\ 5 & 3 & 3 & 2 & 5 & 3 \end{pmatrix}$$

$$w((i, j - 1), (i, j)) = \max\{0, a_{i,j} - a_{i,j-1}\}$$

The segmentation graph



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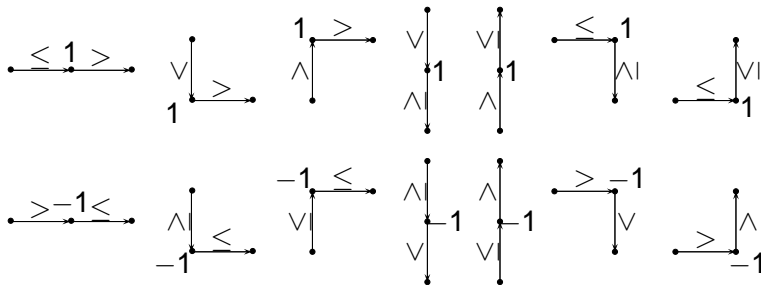
Theorem

The minimal TNMU of a segmentation of a nonnegative matrix A equals $c(A)$, the maximal weight of a $(\mathbf{0}, \mathbf{1})$ –path in G .

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A dual feasible solution

- With each path P we associate a dual feasible solution.



The TNMU is at least $c(A)$

Lemma

g is feasible for the dual program (D) .

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Lemma

$$\sum_{(i,j) \in [m] \times [n]} g(i,j) a_{i,j} = w(P)$$

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Outline of the Algorithm

$A^{(0)} := A, k := 0.$

while $A^{(k)} \neq 0$ **do**

$k := k + 1$

 Determine an $A^{(k-1)}$ -segment $S^{(k)}$ such that

$A^{(k)} := A^{(k-1)} - S^{(k)}$ is nonnegative and

$c(A^{(k)}) = c(A^{(k-1)}) - 1.$

return $S^{(1)}, S^{(2)}, \dots, S^{(k)}$

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return $S^{(1)}, S^{(2)}, \dots, S^{(k)}$

- Let's assume for the moment that it is always possible to determine $S^{(k)}$ with the required properties.

Lemma

Every $A^{(k)}$ –segment ($k = 0, 1, 2, \dots$) is also an A -segment.

Proof by induction on k :

- Let S be any $A^{(k)}$ –segment ($k \geq 1$).

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- $a_{i,j}^{(k)} \leq a_{i\pm 1,j}^{(k)}$ because $S^{(k)}$ is an $A^{(k-1)}$ –segment.

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- $s_{i,j} = 1 \Rightarrow s_{i\pm 1,j} = 1$

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- S is an $A^{(k-1)}$ –segment, hence by induction an A –segment.

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- $s_{i,j} = 1 \Rightarrow s_{i\pm 1,j} = 1$
- S is an $A^{(k-1)}$ –segment, hence by induction an A –segment.

Theorem

The algorithm yields only A –segments.

The construction of segment $S^{(k)}$

- Let w_{k-1} denote the weight function on the arcs of G with respect to $A^{(k-1)}$.
- We put

$$\alpha_1^{(k-1)}(i, j) = \max\{w_{k-1}(P) : P \text{ is a } (0, (i, j)) - \text{path in } G\},$$

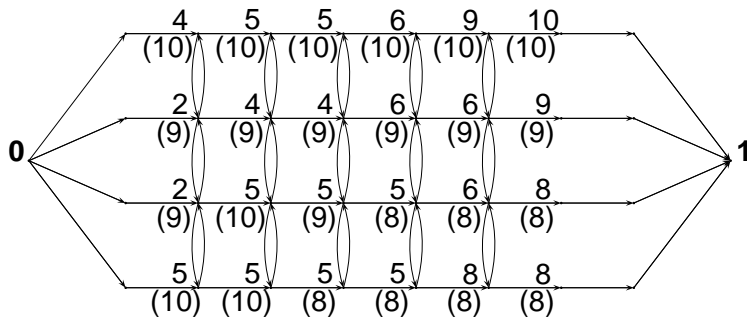
$$\alpha_2^{(k-1)}(i, j) = \max\{w_{k-1}(P) : P \text{ is a } ((i, j), 1) - \text{path in } G\},$$

$$\alpha^{(k-1)}(i, j) = \alpha_1^{(k-1)}(i, j) + \alpha_2^{(k-1)}(i, j).$$

- Define $S^{(k)}$ by

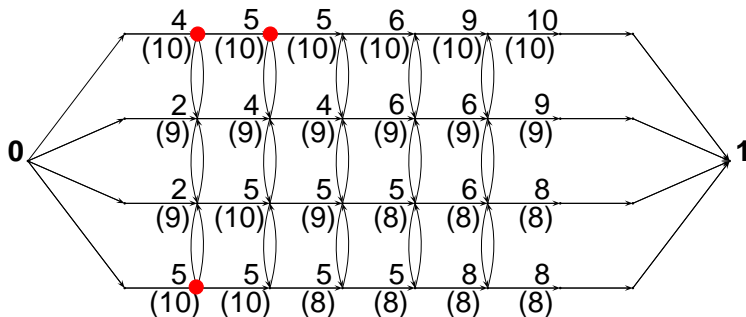
$$s_{i,j}^{(k)} = \begin{cases} 1 & \text{if } a_{i,j}^{(k-1)} > 0, \alpha^{(k-1)}(i, j) = c(A^{(k-1)}) \text{ and} \\ & \alpha_1^{(k-1)}(i, j) = a_{i,j}^{(k-1)}, \\ 0 & \text{otherwise.} \end{cases}$$

Example



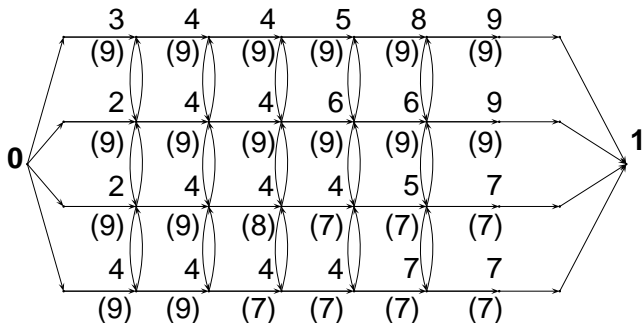
$$A = \begin{pmatrix} 4 & 5 & 0 & 1 & 4 & 5 \\ 2 & 4 & 1 & 3 & 1 & 4 \\ 2 & 3 & 2 & 1 & 2 & 4 \\ 5 & 3 & 3 & 2 & 5 & 3 \end{pmatrix}$$

Example



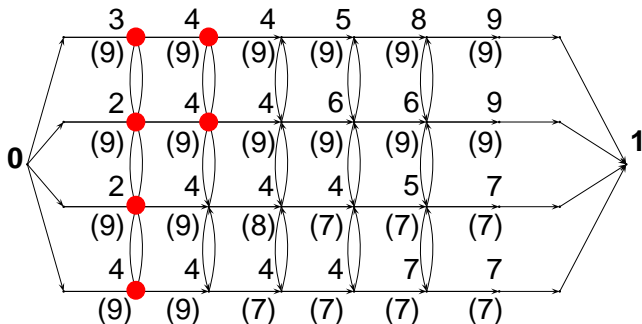
$$A = \begin{pmatrix} 4 & 5 & 0 & 1 & 4 & 5 \\ 2 & 4 & 1 & 3 & 1 & 4 \\ 2 & 3 & 2 & 1 & 2 & 4 \\ 5 & 3 & 3 & 2 & 5 & 3 \end{pmatrix} \Rightarrow S = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

Example



$$A = \begin{pmatrix} 3 & 4 & 0 & 1 & 4 & 5 \\ 2 & 4 & 1 & 3 & 1 & 4 \\ 2 & 3 & 2 & 1 & 2 & 4 \\ 4 & 3 & 3 & 2 & 5 & 3 \end{pmatrix}$$

Example



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- Continuing this way we obtain the segmentation

$$\begin{pmatrix} 4 & 5 & 0 & 1 & 4 & 5 \\ 2 & 4 & 1 & 3 & 1 & 4 \\ 2 & 3 & 2 & 1 & 2 & 4 \\ 5 & 3 & 3 & 2 & 5 & 3 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \end{pmatrix} \\ + \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 \end{pmatrix} \\ + \begin{pmatrix} 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}.$$

The algorithm works

Lemma

In every step the described method yields an $A^{(k-1)}$ -segment $S^{(k)}$ with $c(A^{(k-1)} - S^{(k)}) = c(A^{(k-1)}) - 1$.

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Lemma

In every step the described method yields an $A^{(k-1)}$ -segment $S^{(k)}$ with $c(A^{(k-1)} - S^{(k)}) = c(A^{(k-1)}) - 1$.

- *Remark.* The resulting segmentation is unidirectional, i.e. the leaves move only from left to right.

Heuristic for the number of segments

- while ($A \neq 0$)
 - Determine a pair (u, S) such that
 - $A' := A - uS$ is nonnegative,
 - $s_{i,j} = 1 \wedge s_{i+1,j} = 0 \Rightarrow a_{i,j} \geq a_{i+1,j} + u,$
 - $s_{i,j} = 1 \wedge s_{i-1,j} = 0 \Rightarrow a_{i,j} \geq a_{i-1,j} + u.$

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($L = 3, \dots, 16$)
- computation time
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- computation time
 - pure TNMU-minimization: few seconds for 1000 segmentations
 - with heuristic for the number of segments:
 - 15 minutes for 1000 matrices
 - maximal time for a single matrix 13 seconds

Test results on random matrices

L	TNMU	segments
4	21.2	18.0
6	30.3	22.6
8	39.2	25.7
10	48.2	28.3
12	57.2	30.5
14	66.0	32.2
16	74.8	33.9

Table: Results with elimination of Tongue-and-groove underdosage.

L	TNMU	segments
4	19.5	14.5
6	27.6	17.2
8	35.7	19.1
10	43.8	20.7
12	51.8	21.9
14	59.8	23.0
16	67.7	24.0

Table: Results without elimination of Tongue-and-groove underdosage.

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- 5 Test results
- 6 Conclusion and open problems

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- Better methods for the minimization of the number of segments are needed.

Thank you for your attention!