

# Uniqueness of minimal coverings of maximal partial clones

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ABSTRACT. A partial function  $f$  on a  $k$ -element set  $E_k$  is a partial Sheffer function if every partial function on  $E_k$  is definable in terms of  $f$ . Since this holds if and only if  $f$  belongs to no maximal partial clone on  $E_k$ , a characterization of partial Sheffer functions reduces to finding families of minimal coverings of maximal partial clones on  $E_k$ . We show that for each  $k \geq 2$  there exists a unique minimal covering.

## 1. Introduction

In many-valued logic the set of truth values is finite and without loss of generality we can assume it to be  $E_k := \{0, 1, \dots, k-1\}$ ,  $k \in \mathbb{N}$ ,  $k \geq 2$ .

The set  $P_k := \{f^{(n)} \mid f^{(n)}: E_k^n \rightarrow E_k, n \geq 1\}$  is the set of all total functions on  $E_k$ . Let  $D \subseteq E_k^n$ ,  $n \geq 1$  and  $f^{(n)}: D \rightarrow E_k$ . Then  $f^{(n)}$  is called an  $n$ -ary partial function on  $E_k$  with domain  $D$ . We also write  $\text{dom}(f) = D$ . If the arity of the function is known we omit the upper index and write  $f$  instead of  $f^{(n)}$ . Denote by  $\tilde{P}_k^{(n)}$  the set of all  $n$ -ary partial functions on  $E_k$  and set

$$\tilde{P}_k := \bigcup_{n \geq 1} \tilde{P}_k^{(n)}.$$

Let  $C_\emptyset := \{f \in \tilde{P}_k \mid \text{dom}(f) = \emptyset\}$ .

For  $i \in \{1, \dots, n\}$  the  $n$ -ary function  $e_i^{(n)}$  defined by setting  $e_i^{(n)}(x_1, \dots, x_n) := x_i$  for all  $x_1, \dots, x_n \in E_k$  is called the  $n$ -ary *projection* onto the  $i$ -th coordinate. Let  $J_k := \{e_i^{(n)} \mid n \in \mathbb{N}, 1 \leq i \leq n\}$  be the set of all projections.

For  $f \in \tilde{P}_k^{(n)}$  and  $g_1, \dots, g_n \in \tilde{P}_k^{(m)}$  let  $f(g_1, \dots, g_n) \in \tilde{P}_k^{(m)}$  be the *composition* as given in [2], i.e.,

$$x \in \text{dom}(f(g_1, \dots, g_n)) \iff \left( x \in \bigcap_{i=1}^n \text{dom}(g_i) \right) \wedge (g_1(x), \dots, g_n(x)) \in \text{dom}(f)$$

and  $f(g_1, \dots, g_n)(x) := f(g_1(x), \dots, g_n(x))$  for all  $x \in \text{dom}(f(g_1, \dots, g_n))$ . A *partial clone* (*clone*) on  $E_k$  is a composition closed subset of  $\tilde{P}_k$  ( $P_k$ ) containing the set of projections  $J_k$ .

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The set of all partial clones on  $E_k$  (clones on  $E_k$ ), ordered by inclusion, forms an algebraic lattice  $\mathbb{L}\tilde{P}_k$  ( $\mathbb{L}P_k$ ), whose smallest element is the set of all projections and greatest element is  $\tilde{P}_k$  ( $P_k$ ), respectively. A *maximal partial clone* (a *maximal clone*) on  $E_k$  is a co-atom of  $\tilde{P}_k$  and  $P_k$ , respectively. Thus a partial clone (clone)  $M$  is a maximal partial clone (maximal clone) if the inclusions  $M \subset C \subset \tilde{P}_k$  ( $M \subset C \subset P_k$ ) hold for no partial clone (hold for no clone)  $C$  on  $E_k$ .

For  $F \subseteq \tilde{P}_k$  ( $F \subseteq P_k$ ), we denote by  $[F]_P$  ( $[F]$ ) the partial clone (clone) on  $E_k$  generated by  $F$ , i.e., the intersection of all partial clones (clones) containing the set  $F$  on  $E_k$ . Clearly  $[F]_P$  ( $[F]$ ) is the least partial clone (clone) on  $E_k$  containing  $F$ .

A set  $F$  of partial functions (functions) on  $E_k$  is *complete* if  $[F]_P = \tilde{P}_k$  ( $[F] = P_k$ ), respectively. It is well known that a set  $F \subseteq \tilde{P}_k$  ( $F \subseteq P_k$ ) is complete if and only if  $F$  is contained in no maximal partial clone (maximal clone) on  $E_k$  (see, e.g., [6] for the partial case and e.g., [7], Theorem 1.5.4.1, for the total case). Therefore maximal clones fully described in [9, 10] (see also [11]) play a fundamental role for completeness.

Similarly, maximal partial clones play a very important role for the completeness problem of finite partial algebras. The description of all maximal partial clones on a finite set can be found in the literature. We refer the reader to the papers of Haddad and Rosenberg [3, 5] for the description of all maximal partial clones.

Sheffer [17] described two binary functions  $f \in P_2$  such that  $[\{f\}] = P_2$ , i.e., such that every function on  $E_2$  can be expressed in terms of  $f$  only. A function  $f \in P_k$  is a *Sheffer function* if every function on  $E_k$  can be obtained by composition from  $f$  and the projections, i.e., if  $[f] := [\{f\}] = P_k$ .

Next Webb [18] showed that the function  $f$  defined by

$$f(x, y) := \min(x, y) + 1 \pmod{k}$$

is a Sheffer function for  $P_k$ . Sheffer functions have been well studied and characterized by Rousseau [12] and Schofield [13]. We refer the reader to [11] for a detailed list of references on the subject.

Partial Sheffer functions are defined similarly. A partial function  $f$  on  $E_k$  is a *partial Sheffer function* if every partial function on  $E_k$  can be obtained by composition from  $f$  and the projections, i.e., if  $[f]_P = \tilde{P}_k$ . However due to the difficulty of the problem, very little is known about partial Sheffer functions for  $\tilde{P}_k$ . Already the family of all maximal partial clones on  $E_k$  is far more complex than the family of all maximal clones on  $E_k$ . This is already shown in the following table where  $|\mathcal{M}_k|$  and  $|p\mathcal{M}_k|$  denote the number of maximal clones (see [7] p. 185) and maximal partial clones (see [15]), respectively.

$k$	$ \mathcal{M}_k $	$ p\mathcal{M}_k $
2	5	8
3	18	58
4	82	1 102
5	643	325 722
6	15 182	5 242 621 816
7	7 848 984	?

Results on partial Sheffer functions can be found in the papers by Haddad and Rosenberg [4], Romov [8], and Haddad and Lau [2]. Many examples of partial Sheffer functions are known, see e.g. [1] and [4].

The *completeness problem for partial Sheffer functions* is the question if for a given partial function  $f \in \tilde{P}_k$  the identity  $[f]_{\mathcal{P}} = \tilde{P}_k$  holds. That means, criteria are investigated to decide if a partial function is a partial Sheffer function. The problem has been solved for  $k = 2$  by Haddad and Rosenberg [4], for  $k = 3$  by Haddad and Lau [2], and for  $k = 4$  by the author in [14] (see also [16]). A specific notion used there is a *minimal covering* of the maximal partial clones, which for  $k \in \{2, 3, 4\}$  has been shown to be unique and has been determined in the papers mentioned above. The aim of this paper is to show that for all  $k \geq 2$  there is a unique minimal covering.

## 2. Definitions and the Theorem of Haddad and Rosenberg

Relations are useful to describe the clones in  $\tilde{P}_k$ . We often write the elements of relations as columns and a relation can then be given as a matrix. For example, the ternary relation  $\varrho = \{(0, 1, 2), (1, 2, 0), (3, 4, 5), (2, 3, 1)\}$  can also be written as

$$\varrho = \begin{pmatrix} 0 & 1 & 3 & 2 \\ 1 & 2 & 4 & 3 \\ 2 & 0 & 5 & 1 \end{pmatrix}.$$

Denote by  $E_k^{a \times b}$  be the set of all  $(a \times b)$ -matrices over  $E_k$ . Let a matrix be given by  $C = (c_{ij})_{h,n} \in E_k^{h \times n}$ . Then denote by  $c_{i*} = (c_{i1}, \dots, c_{in})$  the  $i$ -th row of the matrix where  $i \in \{1, \dots, h\}$ , and denote by  $c_{*j} = (c_{1j}, \dots, c_{hj})^T$  the  $j$ -th column of the matrix where  $j \in \{1, \dots, n\}$ .

Let  $\mathcal{R}_k^{(h)}$  be the set of all  $h$ -ary relations on  $E_k$  and  $\mathcal{R}_k := \bigcup_{h \geq 1} \mathcal{R}_k^{(h)}$ . For a relation  $\varrho \in \mathcal{R}_k$  we write  $\varrho^{(h)}$  to indicate that  $\varrho \in \mathcal{R}_k^{(h)}$ , i.e., that  $\varrho$  is an  $h$ -ary relation.

An  $n$ -ary function  $f^{(n)} \in \tilde{P}_k$  preserves an  $h$ -ary relation  $\varrho^{(h)} \in \mathcal{R}_k$  iff for all  $c_{*1}, c_{*2}, \dots, c_{*n} \in \varrho$  with  $c_{1*}, \dots, c_{h*} \in \text{dom}(f)$  holds

$$f(c_{*1}, \dots, c_{*n}) := \begin{pmatrix} f(c_{1*}) \\ f(c_{2*}) \\ \vdots \\ f(c_{h*}) \end{pmatrix} := \begin{pmatrix} f(c_{11}, c_{12}, \dots, c_{1n}) \\ f(c_{21}, c_{22}, \dots, c_{2n}) \\ \vdots \\ f(c_{h1}, c_{h2}, \dots, c_{hn}) \end{pmatrix} \in \varrho.$$

Denote by  $\text{pPOL}_k \varrho$  the set of all functions  $f \in \tilde{P}_k$  which preserve the relation  $\varrho \in \mathcal{R}_k$ . For example, for  $h = 1$  and  $\varrho = \{0\}$  the set  $\text{pPOL}_k \{0\}$  is the set of all functions  $f \in \tilde{P}_k$  for which  $f(0, \dots, 0) = 0$  or  $(0, \dots, 0) \notin \text{dom } f$ .

For each  $m \in \mathbb{N}$  set  $\eta_m := (0, 1, \dots, m-1)^T$ .

Denote by  $\omega(v)$  the set of distinct entries of  $v = (v_1, \dots, v_h) \in E_k^h$ , that means,  $\omega(v) = \omega((v_1, \dots, v_h)) := \{v_1, \dots, v_h\}$ . Additionally for some relation  $\varrho \subseteq E_k^h$  we set  $\omega(\varrho) = \bigcup_{v \in \varrho} \omega(v)$ . For example, for  $v = (0, 0, 1) \in E_k^3$  we get  $\omega(v) = \{0, 1\}$ .

**Definition 2.1.** Set for all  $h$  with  $1 \leq h \leq k$

$$\begin{aligned}\varrho_1 &:= \{(a, a, b, b), (a, b, a, b) \mid a, b \in E_k\}, \\ \varrho_2 &:= \{(a, a, b, b), (a, b, a, b), (a, b, b, a) \mid a, b \in E_k\}, \\ \iota_k^h &:= \{x \in E_k^h \mid |\omega(x)| \leq h-1\}.\end{aligned}$$

**Definition 2.2.** For an arbitrary equivalence relation  $\varepsilon$  on  $E_h$  define

$$\delta_{k,\varepsilon}^{(h)} := \{(a_0, \dots, a_{h-1}) \in E_k^h \mid (i, j) \in \varepsilon \implies a_i = a_j\}.$$

If  $h$  or  $k$  is understood from the context we just write  $\delta_\varepsilon$  or  $\delta_\varepsilon^{(h)}$  or  $\delta_{k,\varepsilon}$ . If  $\varepsilon_1, \dots, \varepsilon_r$  are the non-singular equivalence classes of the relation  $\varepsilon$  then we write  $\delta_{k;\varepsilon_1, \dots, \varepsilon_r}^{(h)}$  or  $\delta_{\varepsilon_1, \dots, \varepsilon_r}$  instead of  $\delta_{k,\varepsilon}^{(h)}$ . For example,  $\delta_{k;E_h}^{(h)} = \{(x, x, \dots, x) \in E_k^h \mid x \in E_h\}$ . These relations are called *diagonal* relations. Especially  $E_k^h$  for any  $h$  is a diagonal relation.

**Definition 2.3.** For  $\varrho^{(h)} \subseteq E_k^h$  we set  $\sigma(\varrho) := \varrho \setminus \iota_k^h$  and  $\delta(\varrho) := \varrho \cap \iota_k^h = \varrho \setminus \sigma(\varrho)$ . If  $\delta(\varrho) = \delta_\gamma$  for some equivalence relation  $\gamma$  on  $E_h$  then we write  $\varepsilon(\varrho) := \gamma$ .

**Definition 2.4.** A relation  $\varrho^{(h)} \subseteq E_k^h$  is

- *areflexive*, if  $h \geq 2$  and  $\delta(\varrho) = \emptyset$ , i.e.,  $\varrho = \sigma(\varrho)$  meaning that for each  $(x_1, \dots, x_h) \in \varrho$  we have that  $x_i \neq x_j$  for all  $1 \leq i < j \leq h$ .
- *quasi-diagonal*, if  $\sigma(\varrho)$  is a non-empty areflexive relation, and  $\delta(\varrho) = \delta_\varepsilon$  where  $\varepsilon \neq \iota_h^2$  is an equivalence relation on  $E_h$ .

**Definition 2.5.** For  $\varrho^{(h)} \subseteq E_k^h$  set  $\sigma := \sigma(\varrho)$ ,  $\delta := \delta(\varrho)$ , and denote by  $S_h$  the set of all permutations on  $E_h$ .

For  $r = (r_0, \dots, r_{h-1}) \in \varrho$  and  $\pi \in S_h$  we write

$$r^{[\pi]} := (r_{\pi(0)}, r_{\pi(1)}, \dots, r_{\pi(h-1)}), \text{ and } \varrho^{[\pi]} := \{r^{[\pi]} \mid r \in \varrho\}.$$

Let  $\Gamma_\sigma := \{\pi \in S_h \mid \sigma \cap \sigma^{[\pi]} \neq \emptyset\}$ .

The *model* of  $\varrho$  is the  $h$ -ary relation  $M(\varrho) := \{\eta_h^{[\pi]} \mid \pi \in \Gamma_\sigma\} \cup (\delta \cap E_h^h)$  on  $E_h$ .

The relation  $\varrho$  is *coherent*, if the following conditions hold:

- (1)  $\varrho \neq E_k^h$ ,  $\varrho \neq \emptyset$ ,
- (2) (a)  $\varrho$  is a unary relation, i.e.,  $h = 1$ , or  
(b)  $\varrho$  is areflexive with  $2 \leq h \leq k$ , or  
(c)  $\varrho$  is quasi-diagonal with  $2 \leq h \leq k$ , or  
(d)  $\delta = \iota_k^h$  with  $3 \leq h \leq k$ , or  
(e)  $h = 4$  and  $\delta = \varrho_i$  with  $i \in \{1, 2\}$  (see Definition 2.1),
- (3)  $r^{[\pi]} \in \sigma$  for all  $r \in \sigma$  and all  $\pi \in \Gamma_\sigma$ ,
- (4) for every  $\sigma'$  with  $\emptyset \neq \sigma' \subseteq \sigma$  there is a relational homomorphism  $\varphi: E_k \rightarrow E_h$  from  $\sigma'$  to  $M(\varrho)$ , such that  $\varphi(r) = \eta_h$  for some  $r \in \sigma'$ , i.e., there is some  $r = (r_0, \dots, r_{h-1}) \in \sigma'$  with  $(\varphi(r_0), \dots, \varphi(r_{h-1})) = (0, \dots, h-1)$ ,
- (5) (a) if  $\delta = \iota_k^h$  and  $h \geq 3$  then  $\Gamma_\sigma = S_h$ ,  
(b) if  $\delta = \varrho_1$  then  $\Gamma_\sigma = \langle (0231), (12) \rangle$  ( $\Gamma_\sigma$  is the permutation group which is generated by the cycles  $(0231)$  and  $(12)$ ),  
(c) if  $\delta = \varrho_2$  then  $\Gamma_\sigma = S_4$ .

We remark that all non-empty non-diagonal totally reflexive, totally symmetric relations are coherent.

Denote by  $\tilde{\mathcal{R}}_k^{\max}$  the set of all coherent relations. Due to [15] (Chapter: Different Relations – Different Clones) we can assume that  $\text{pPOL}_k \varrho \neq \text{pPOL}_k \chi$  for all  $\varrho^{(h)}, \chi^{(h)} \in \tilde{\mathcal{R}}_k^{\max}$  with  $\varrho \neq \chi$ . Let

$$p\mathcal{M}_k := \{P_k \cup C_\emptyset\} \cup \left\{ \text{pPOL}_k \varrho \mid \varrho \in \tilde{\mathcal{R}}_k^{\max} \right\}.$$

**Theorem 2.6** (of Haddad and Rosenberg; [3, 5]). *Let  $k \geq 2$ . For each  $A \subset \tilde{P}_k$  with  $A = [A]_{\text{P}}$  there is a maximal partial clone  $M_A$  with  $A \subseteq M_A$ . A clone  $M$  is a maximal partial clone of  $\tilde{P}_k$  if and only if  $M \in p\mathcal{M}_k$ , i.e., in other words  $p\mathcal{M}_k$  is the set of all maximal partial clones of  $\tilde{P}_k$ .*

**Theorem 2.7** (Completeness criterion for  $\tilde{P}_k$ ; [5]). *Let  $C \subseteq \tilde{P}_k$ . Then  $[C]_{\text{P}} = \tilde{P}_k$  if and only if  $C \not\subseteq M$  for all  $M \in p\mathcal{M}_k$ .*

**Definition 2.8.** The set of coherent relations  $\tilde{\mathcal{R}}_k^{\max}$  can be divided into the following sets:

$$\begin{aligned} \mathcal{U} &:= \{\chi^{(\mu)} \in \tilde{\mathcal{R}}_k^{\max} \mid \mu = 1\}, \\ \mathcal{A} &:= \{\chi^{(\mu)} \in \tilde{\mathcal{R}}_k^{\max} \mid \mu \geq 2 \wedge \chi \text{ is areflexive}\}, \\ \mathcal{Q} &:= \{\chi^{(\mu)} \in \tilde{\mathcal{R}}_k^{\max} \mid \mu \geq 2 \wedge \chi \text{ is quasi-diagonal}\}, \\ \mathcal{S} &:= \{\chi^{(\mu)} \in \tilde{\mathcal{R}}_k^{\max} \mid \mu \geq 3 \wedge \delta(\chi) = \iota_k^\mu\}, \\ \mathcal{L} &:= \{\chi^{(\mu)} \in \tilde{\mathcal{R}}_k^{\max} \mid \mu = 4 \wedge \delta(\chi) \in \{\varrho_1, \varrho_2\}\}. \end{aligned}$$

**Definition 2.9.** Let  $\varrho^{(h)} \in \mathcal{R}_k$  and  $A = \{a_0, \dots, a_{l-1}\} \subseteq E_h$  with  $a_i < a_j$  for all  $i < j$ . Then set

$$\begin{aligned} \text{pr}_A \varrho &:= \text{pr}_{a_0, \dots, a_{l-1}} \varrho \\ &:= \{(x_{a_0}, \dots, x_{a_{l-1}}) \mid \exists x_0, \dots, x_{h-1} \in E_k : (x_0, \dots, x_{h-1}) \in \varrho\}. \end{aligned}$$

**Definition 2.10.** For  $\varrho^{(h)} \in \mathcal{Q}$  denote by  $\varrho^*$  the union of the non-singleton classes of the equivalence relation  $\varepsilon(\varrho)$ . We define

$$\begin{aligned} \text{pp} \varrho &:= \text{pr}_{\varrho^*} \varrho, \\ \|\varrho\| &:= |\varrho^*|, \\ \mathcal{Q}_0 &:= \{\chi^{(\mu)} \in \mathcal{Q} \mid \varepsilon(\chi) \text{ has no singular equivalence class}\} \\ &= \{\chi^{(\mu)} \in \mathcal{Q} \mid \text{pp} \chi = \chi\} = \{\chi^{(\mu)} \in \mathcal{Q} \mid \|\chi\| = \mu\}, \\ \mathcal{Q}_1 &:= \mathcal{Q} \setminus \mathcal{Q}_0. \end{aligned}$$

If  $\varrho \in \mathcal{Q}_1$  then define

$$\mathcal{Q}_\varrho := \left\{ \chi \in \mathcal{Q}_1 \mid \begin{array}{l} (\|\chi\| < \|\varrho\|) \vee \\ (\|\chi\| = \|\varrho\| \wedge \text{pp} \chi \not\subseteq \text{pp} \varrho) \end{array} \right\}. \quad (2.1)$$

Because  $\text{pPOL}_k \varrho = \text{pPOL}_k \varrho^{[\pi]}$  for all  $\pi \in S_h$  we use the convention  $\text{pp} \varrho = \text{pr}_{E_{\|\varrho\|}} \varrho$  for all  $\varrho \in \mathcal{Q}$ .

The relations in  $\mathcal{Q}_1$  are exactly the coherent quasi-diagonal relations  $\varrho$  where  $\varepsilon(\varrho)$  has at least one singular class.

**Example 2.11.** Let  $k = 10$  and

$$\varrho^{(5)} := \begin{pmatrix} 0 & 5 \\ 1 & 6 \\ 2 & 7 \\ 3 & 8 \\ 4 & 9 \end{pmatrix} \cup \delta_{\{0,1\},\{2,3\}}^{(5)}.$$

Then  $\varrho \in \mathcal{Q}$ ,  $\varepsilon(\varrho)$  has the blocks  $\{0, 1\}, \{2, 3\}, \{4\}$ ,

$$\varrho^* = \{0, 1, 2, 3\},$$

$$\|\varrho\| = 4, \text{ and}$$

$$\text{pp } \varrho = \begin{pmatrix} 0 & 5 \\ 1 & 6 \\ 2 & 7 \\ 3 & 8 \end{pmatrix} \cup \delta_{\{0,1\},\{2,3\}}^{(4)}.$$

Then  $\varrho \in \mathcal{Q}_1$ , since  $\varepsilon(\varrho)$  has a singleton block  $\{4\}$ , and  $\text{pp } \varrho = \text{pr}_{E_4} \varrho \in \mathcal{Q}_0$ .

### 3. Minimal covering

We want to determine which maximal partial clones in the criterion in Theorem 2.7 are needed to characterize partial Sheffer functions. According to Theorem 2.7 a function  $f \in \tilde{P}_k$  is a partial Sheffer function if and only if  $f \in \tilde{P}_k \setminus (\bigcup p.\mathcal{M}_k)$ . It turns out that the union  $\bigcup p.\mathcal{M}_k$  of maximal partial clones is also  $\bigcup \mathcal{X}$  for a proper subset  $\mathcal{X}$  of  $p.\mathcal{M}_k$ . This leads to the following definition.

**Definition 3.1.** A set  $\mathcal{X} \subseteq p.\mathcal{M}_k$  is a *minimal covering* of  $p.\mathcal{M}_k$ , if for every  $f \in \tilde{P}_k$  holds

$$[f]_{\text{P}} = \tilde{P}_k \iff \forall A \in \mathcal{X} : f \notin A$$

and for each  $A \in \mathcal{X}$  there is some  $f \in \tilde{P}_k$  with

$$[f]_{\text{P}} \neq \tilde{P}_k \wedge (\forall B \in \mathcal{X} \setminus \{A\} : f \notin B).$$

**Lemma 3.2.** Let  $C$  be a maximal partial clone and  $f \in C$  with  $f \notin B$  for all  $B \in p.\mathcal{M}_k \setminus \{C\}$ . Then  $C$  is in every minimal covering of  $p.\mathcal{M}_k$ .

*Proof.* Let  $f \in C \in p.\mathcal{M}_k$  with  $f \notin B$  for all  $B \in p.\mathcal{M}_k \setminus \{C\}$ . Assume there is a minimal covering  $\mathcal{X}$  of  $p.\mathcal{M}_k$  with  $C \notin \mathcal{X}$ . Then  $[f]_{\text{P}} \subseteq C \subset \tilde{P}_k$  and  $f \notin A$  for each  $A \in \mathcal{X} \subseteq p.\mathcal{M}_k \setminus \{C\}$ , in contradiction to the first condition of a minimal covering.  $\square$

**Lemma 3.3.** Let  $C \in p.\mathcal{M}_k$  and  $\mathcal{C} \subseteq p.\mathcal{M}_k \setminus \{C\}$  be such that every  $C' \in \mathcal{C}$  is contained in every minimal covering of  $p.\mathcal{M}_k$  and for all  $f \in C$  there is some  $C' \in \mathcal{C}$  with  $f \in C'$ . Then  $C$  is in no minimal covering of  $p.\mathcal{M}_k$ .

*Proof.* Assume  $C$  is in some minimal covering  $\mathcal{X}$  of  $p\mathcal{M}_k$ . Then there is some  $f \in \tilde{P}_k$  with  $[f]_P \neq \tilde{P}_k$  and  $f \notin B$  for all  $B \in \mathcal{X} \setminus \{C\}$ . From  $\mathcal{C} \subseteq \mathcal{X}$  follows  $f \notin C$ . Thus  $f \notin A$  for all  $A \in \mathcal{X}$ , and  $[f]_P \neq \tilde{P}_k$  contradicting  $\mathcal{X}$  minimal covering of  $p\mathcal{M}_k$ . Thus  $C$  is in no minimal covering.  $\square$

#### 4. A Product of Functions

**Definition 4.1.** Let  $D' \in E_k^{a \times b}$  be an  $(a, b)$ -matrix on  $E_k$ , i.e.,

$$D' = \begin{pmatrix} d_{11} & \dots & d_{1b} \\ \vdots & \ddots & \vdots \\ d_{a1} & \dots & d_{ab} \end{pmatrix}$$

with  $d_{ij} \in E_k$  for all  $i, j$ .

If a function  $f^{(n)} \in \tilde{P}_k$  is defined by

$$f(D') := v = (v_1, \dots, v_a)^T$$

then

$$\begin{aligned} n &:= b, \\ \text{dom } f &:= D := \{(d_{i1}, \dots, d_{ib}) \mid i \in \{1, \dots, a\}\}, \\ f(d_{i1}, \dots, d_{ib}) &:= v_i \end{aligned}$$

for all  $i \in \{1, \dots, a\}$ . If the domain  $D := \text{dom } f$  is given then  $D'$  is a matrix whose rows are the entries of  $D$  in lexicographical order.

Let  $\chi^{(h)} \in \mathcal{R}_k$  and  $f^{(n)} \in \tilde{P}_k$  be defined by  $f(\chi) = v$  then assume  $\chi$  be given as a matrix as explained before, i.e.,  $n = |\chi|$  and  $v \in E_k^h$ .

**Definition 4.2.** Let  $f^{(n)} \in \tilde{P}_k$  with  $D = \text{dom } f$  and  $g^{(m)} \in \tilde{P}_k$  with  $E = \text{dom } g$ . Then  $D' \in E_k^{D \times n}$  and  $E' \in E_k^{E \times m}$ .

Define  $F^{(N)} := (f \otimes g) \in \tilde{P}_k^{(n \cdot m)}$  by

$$F(D' \otimes E') := F \left( \begin{array}{c|c|c} D'_{*1} & \dots & D'_{*n} \\ \hline E' & \dots & E' \end{array} \right) := \left( \frac{f(D')}{g(E')} \right). \quad (4.1)$$

We assume  $E'$  has no constant rows so  $F$  is well-defined. Then

$$\begin{aligned} \text{dom } F &= \{ \underbrace{(a_1, \dots, a_1)}_{m \text{ times}}, \dots, \underbrace{(a_i, \dots, a_i)}_{m \text{ times}}, \dots, \underbrace{(a_n, \dots, a_n)}_{m \text{ times}} \mid (a_1, \dots, a_n) \in D \} \\ &\cup \{ (b_1, b_2, \dots, b_m, b_1, b_2, \dots, b_m, \dots, b_1, b_2, \dots, b_m) \mid (b_1, \dots, b_m) \in E \}. \end{aligned}$$

Let  $c = (c_1, \dots, c_N) \in \text{dom } F$ . Then we say it is from the  $E$ -part or  $g$ -part of  $F$  if  $c = \text{pr}_i(E', E', \dots, E')$  for some  $i$ . Otherwise we say it is from the  $D$ -part or  $f$ -part of  $F$ .

Likewise we inductively set  $f \otimes g_1 \otimes \dots \otimes g_{l-1} \otimes g_l := (f \otimes g_1 \otimes \dots \otimes g_{l-1}) \otimes g_l$  with  $g_i \in \tilde{P}_k$  for all  $i \in \{1, \dots, l\}$ .

**Example 4.3.** Let  $f, g \in \tilde{P}_k$  be given by

$$f \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} := \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \quad g \begin{pmatrix} 0 & 2 & 3 \\ 2 & 4 & 5 \end{pmatrix} := \begin{pmatrix} 4 \\ 0 \end{pmatrix}.$$

where

$$D' = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad D = \text{dom } f = \{(0, 0), (0, 1)\}, \\ E = \text{dom } g = \{(0, 2, 3), (2, 4, 5)\}.$$

Then

$$(f \otimes g) \left( \begin{array}{ccc|ccc} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ \hline 0 & 2 & 3 & 0 & 2 & 3 \\ 2 & 4 & 5 & 2 & 4 & 5 \end{array} \right) = \begin{pmatrix} 1 \\ 2 \\ 4 \\ 0 \end{pmatrix}$$

and

$$\text{dom}(f \otimes g) = \{(0, 0, 0, 0, 0, 0), (0, 0, 0, 1, 1, 1), \\ (0, 2, 3, 0, 2, 3), (2, 4, 5, 2, 4, 5)\}.$$

## 5. Criteria

For the remainder of this paper we will assume  $k \geq 3$  as the case  $k = 2$  is already solved.

**Lemma 5.1** (Lemma 4 [2]). *The maximal partial clone  $P_k \cup C_\emptyset$  belongs to every minimal covering of  $\text{p}\mathcal{M}_k$ .*

**Lemma 5.2** (Lemmas 5, 7 [2]). *Let  $\varrho \in \mathcal{U}$ , i.e.,  $\emptyset \subset \varrho \subset E_k$ . Then  $\text{pPOL}_k \varrho$  belongs to every minimal covering of  $\text{p}\mathcal{M}_k$ .*

**Lemma 5.3.** *Let  $\varrho^{(h)} \in \tilde{\mathcal{R}}_k^{\max}$  with  $h \geq 2$  and  $f^{(n)} \in \tilde{P}_k$ . Let  $c_{*1}, c_{*2}, \dots, c_{*n} \in \varrho$  with  $c_{1*}, \dots, c_{n*} \in \text{dom}(f)$  and  $c_{i'*} = c_{i''*}$  for some  $i', i'' \in \{1, \dots, h\}$  with  $i' < i''$ . Then  $d := f(c_{*1}, c_{*2}, \dots, c_{*n}) \in \varrho$ .*

*Proof.* Because two rows are equal we have  $c_{*i} \in \delta(\varrho) \subseteq \iota_k^h$  for all  $i \in \{1, 2, \dots, n\}$ .

Because  $\varrho$  is coherent there are the following cases for  $\delta := \delta(\varrho)$ :

$\delta = \emptyset$ : Then  $\varrho$  is areflexive and  $c_{*1} \notin \varrho$  contradicting the assumption.

$\delta = \delta_\varepsilon$  for some equivalence relation  $\varepsilon \neq \iota_h^2$ : Then  $c_{*1}, c_{*2}, \dots, c_{*n} \in \delta_\varepsilon$  and thus  $d \in \delta_\varepsilon \subseteq \varrho$ .

$\delta = \iota_k^h$ : Then  $d_{i'} = f(c_{i'*}) = f(c_{i''*}) = d_{i''}$ , i.e.,  $d \in \iota_k^h \subseteq \varrho$ .

$\delta = \varrho_1$ : Then

$$\delta = \{(a, a, b, b) \mid a, b \in E_k, a \neq b\} \cup \{(a, b, a, b) \mid a, b \in E_k, a \neq b\} \cup \\ \{(a, a, a, a) \mid a \in E_k\}$$

and there are the following subcases:



$i' = 1$  and  $i'' = 2$ : Then

$$c_{*j} \in \delta \setminus \{(a, b, a, b) \mid a, b \in E_k, a \neq b\} = \delta_{\{0,1\},\{2,3\}}$$

for all  $j \in \{1, 2, \dots, n\}$  and thus  $d \in \delta_{\{0,1\},\{2,3\}} \subset \varrho_1 \subseteq \varrho$ .

The case  $i' = 3$  and  $i'' = 4$  is analogous.

$i' = 1$  and  $i'' = 3$ : Then

$$c_{*j} \in \delta \setminus \{(a, a, b, b) \mid a, b \in E_k, a \neq b\} = \delta_{\{0,2\},\{1,3\}}$$

for all  $j \in \{1, 2, \dots, n\}$  and thus  $d \in \delta_{\{0,2\},\{1,3\}} \subset \varrho_1 \subseteq \varrho$ .

The case  $i' = 2$  and  $i'' = 4$  is analogous.

$i' = 1$  and  $i'' = 4$ : Then  $c_{*j} \in \{(a, a, a, a) \mid a \in E_k\} = \delta_{\{0,1,2,3\}}$  for all  $j \in \{1, 2, \dots, n\}$  and thus  $d \in \delta_{\{0,1,2,3\}} \subset \varrho_1 \subseteq \varrho$ .

The case  $i' = 2$  and  $i'' = 3$  is analogous.

$\delta = \varrho_2$ : is done analogously.  $\square$

**Lemma 5.4.** Let  $\varrho^{(h)} \in \mathcal{S}$  (see Definition 2.8) with either

$$h \geq 4, \text{ or}$$

$$h = 3 \text{ and } \exists x \in \sigma(E_k^2) \forall a \in E_k \setminus \omega(x) \exists y \in \sigma(\varrho) : \omega(x) \cup \{a\} = \omega(y). \quad (5.1)$$

Then

$$\forall f \in \text{pPOL}_k \varrho \exists \gamma \in \mathcal{U} \cup \{\chi\} : f \in \text{pPOL}_k \gamma \quad (5.2)$$

with  $\chi := \{x \in E_k^{h-1} \mid \{x\} \times E_k \subseteq \varrho\}$  and  $\text{pPOL}_k \chi \in \mathcal{p}\mathcal{M}_k$ .

*Proof.* The definition of  $\chi$  implies that  $\chi$  is totally symmetric and totally reflexive. We have to show that  $\chi$  is non-diagonal. For  $h = 3$  we have  $\chi \neq \iota_k^2$  because of (5.1). Assume  $h \geq 3$  and  $\chi = E_k^{h-1}$  to the contrary. Since  $\varrho \neq E_k^h$ , there is an  $x := (x_1, x_2, \dots, x_h) \in E_k^h \setminus \varrho$  and hence  $(x_1, \dots, x_{h-1}) \notin \chi$ . Thus  $\chi$  is a non-diagonal totally symmetric totally reflexive relation and thus  $\text{pPOL}_k \chi \in \mathcal{p}\mathcal{M}_k$ .

Let  $f^{(n)} \in \text{pPOL}_k \varrho$  be arbitrary. Assume to the contrary, that  $f \notin \text{pPOL}_k \gamma$  for all  $\gamma \in \mathcal{U} \cup \{\chi\}$ . Then there are  $c_{*1}, \dots, c_{*n} \in \chi$  with  $c := f(c_{*1}, \dots, c_{*n}) \in E_k^{h-1} \setminus \chi$ . This means,

$$\exists q \in E_k \setminus \omega(c) \forall y \in \sigma(\varrho) : \omega(c) \cup \{q\} \neq \omega(y).$$

Because  $f \notin \text{pPOL}_k(E_k \setminus \{q\})$  there are  $q_1, \dots, q_n \in E_k \setminus \{q\}$  with  $f(q_1, \dots, q_n) = q$ . Thus follows

$$f \left( \begin{array}{ccc} c_{*1} & \cdots & c_{*n} \\ q_1 & \cdots & q_n \end{array} \right) = \left( \begin{array}{c} c \\ q \end{array} \right) =: t$$

with  $|\omega(t)| = h$ , and therefore  $t \notin \iota_k^h$ . Because of  $\omega(t) \neq \omega(y)$  for every  $y \in \sigma(\varrho)$  by construction,  $t \notin \varrho$  holds. But  $c_{*1}, \dots, c_{*n}$  are chosen with  $\left( \begin{array}{c} c_{*i} \\ q_i \end{array} \right) \in \varrho$  for all  $i \in \{1, \dots, n\}$  contradicting  $f \in \text{pPOL}_k \varrho$ . Thus (5.2) holds.  $\square$

Let the set  $\mathcal{S}'$  consist of all relations in  $\mathcal{S}$  not fulfilling the conditions of Lemma 5.4, i.e.,  $\mathcal{S}' := \{\chi^{(\mu)} \mid \chi \in \mathcal{S}, \mu = 3 \text{ and } (5.1) \text{ is not fulfilled by } \chi\}$ .

**Lemma 5.5.** Let  $\varrho^{(h)} \in \mathcal{Q}_0$  (see Definition 2.10) with  $h = 2$  and

$$\exists x \in E_k \exists \pi \in S_2 : \{x\} \times E_k \subseteq \varrho^{[\pi]}.$$

Then  $\text{pPOL}_k \varrho$  belongs to no minimal covering of  $p\mathcal{M}_k$ .

*Proof.* Let  $f^{(n)} \in \text{pPOL}_k \varrho$  be arbitrary. Assume to the contrary  $f \notin \text{pPOL}_k \theta$  for all  $\theta \in \mathcal{U}$ .

Let  $A \subset E_k$  be a maximal set with  $A \times E_k \subseteq \varrho^{[\pi]}$ . Let  $y \in E_k$  be arbitrary. Because  $f \notin \text{pPOL}_k A$  and  $f \notin \text{pPOL}_k (E_k \setminus \{y\})$  there are rows  $c_A \in A^n$  and  $c_y \in (E_k \setminus \{y\})^n$  with  $f(c_A) =: a \in E_k \setminus A$  and  $f(c_y) = y$ . Thus  $(a, y) \in \varrho^{[\pi]}$  and because  $y$  is arbitrary we get  $(A \cup \{a\}) \times E_k \subseteq \varrho^{[\pi]}$  contradicting the maximality of  $A$ .

Thus the assumption is wrong and  $f \in \text{pPOL}_k \theta$  for some  $\theta \in \mathcal{U}$ . This implies  $\text{pPOL}_k \varrho$  is in no minimal covering, because  $\text{pPOL}_k \theta$  is in every minimal covering of  $p\mathcal{M}_k$  by Lemma 5.2.  $\square$

Let the set  $\mathcal{Q}'_0$  consist of all relations in  $\mathcal{Q}_0$  not fulfilling the conditions of Lemma 5.5.

If  $\varrho$  is symmetric, then Lemma 5.5 follows from Theorem 15, (b) in [2].

## 6. Sorting the minimal coverings

**Definition 6.1.** Let  $\varrho, \chi \in \tilde{\mathcal{R}}_k^{\max}$  with  $\varrho \neq \chi$ , i.e.,  $\text{pPOL}_k \varrho \neq \text{pPOL}_k \chi$  by definition of  $\tilde{\mathcal{R}}_k^{\max}$ . We write  $\varrho \ll \chi$  iff

$$\forall f \in \text{pPOL}_k \varrho \exists g \in \text{pPOL}_k \chi \left( (g \notin \text{pPOL}_k \chi) \wedge \left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} (f \notin \text{pPOL}_k \psi \implies g \notin \text{pPOL}_k \psi) \right) \right).$$

**Lemma 6.2.** Let  $X = \text{pPOL}_k \varrho \in p\mathcal{M}_k$ ,  $f \in X$ , and  $\mathcal{Y}, \mathcal{Z} \subseteq p\mathcal{M}_k$  with  $f \notin Y$  for all  $Y \in \mathcal{Y}$  and  $\mathcal{Z} = \{\text{pPOL}_k \psi \mid \psi \in \tilde{\mathcal{R}}_k^{\max} \wedge \varrho \ll \psi\} \neq \emptyset$ .

Then there is some  $F \in X$  with  $F \notin Y$  for all  $Y \in \mathcal{Y} \cup \mathcal{Z}$ .

*Proof.* Let  $l := |\mathcal{Z}|$  and  $\mathcal{Z} =: \{\text{pPOL}_k \psi_1, \dots, \text{pPOL}_k \psi_l\}$ . If  $l = 1$  then the statement of this Lemma follows from Definition 6.1. Now let  $l \geq 2$ . Assume there is some  $f_i \in X$  with  $i \in \{1, \dots, l-1\}$ ,  $f_i \notin Y$  for all  $Y \in \mathcal{Y}$  and  $f_i \notin \text{pPOL}_k \chi_j$  for all  $j \leq i$ . Since  $i+1 \leq l$  and  $\varrho \ll \chi_{i+1}$ , there is some  $f_{i+1} \in X$  with  $f_{i+1} \notin \text{pPOL}_k \chi_{i+1}$  and  $f_{i+1} \notin Y$  for all  $Y \in \mathcal{Y} \cup \{\text{pPOL}_k \chi_j \mid 1 \leq j \leq i\}$ . Thus, by induction on  $l$ , there is some  $F := f_l \in X$  with  $F \notin Y$  for all  $Y \in \mathcal{Y} \cup \mathcal{Z}$ .  $\square$

**Remark 6.3.** With the help of  $\ll$  we can define a directed graph  $\mathcal{G} = (p\mathcal{M}_k, E)$  without loops such that  $(X, Y) \notin E$  for all  $X, Y \in p\mathcal{M}_k$  with  $X = \text{pPOL}_k \varrho$ ,  $Y = \text{pPOL}_k \psi$  and  $\varrho \ll \psi$ .

If  $X \in p\mathcal{M}_k$  is a sink in  $\mathcal{G}$ , then  $X$  is in every minimal covering of  $p\mathcal{M}_k$ . Assume this is false. Then there is a minimal covering  $\mathcal{Y}$  of  $p\mathcal{M}_k$  with  $X \notin Y$  and

$$\forall f \in X \exists Y \in \mathcal{Y} : f \in Y.$$

Since  $X$  is a sink, i.e.,  $(X, Y) \notin E$ , we have  $\varrho \ll \psi$  for all  $Y = \text{pPOL}_k \psi \in \mathcal{Y}$  and thus by Lemma 6.2 there is some  $F \in X$  with  $F \notin Y$  for all  $Y \in \mathcal{Y}$  contradicting  $\mathcal{Y}$  is a covering of  $p\mathcal{M}_k$ . Thus  $X$  is in every minimal covering of  $p\mathcal{M}_k$ .

If  $X \in p\mathcal{M}_k$  is not a sink in the graph  $\mathcal{G}$  then  $X$  is covered by its successors  $U(X) := \{Y \in p\mathcal{M}_k \mid (X, Y) \in E\}$ , i.e.,

$$X \subseteq \bigcup_{Y \in U(X)} Y.$$

Assume this is false. Then there is some  $f \in X$  with  $f \notin X'$  for all  $X' \in U(X)$ . By Lemma 6.2 there is some  $F \in X$  with  $F \notin X'$  for all  $X' \in U(X)$  and  $F \notin Z$  for all  $Z \in p\mathcal{M}_k$  with  $(X, Z) \in E$ . Thus  $F \notin Y$  for all  $Y \in \mathcal{X} \setminus \{X\}$ . But then  $U(X) = \emptyset$  because of the existence of  $F$ , i.e.,  $X$  is a sink. Thus  $X$  is covered by  $U(X)$ .

Then we show in following sections that  $\mathcal{G}$  is acyclic. This implies if  $X$  is not a sink then  $X$  is covered by sinks since  $\mathcal{G}$  is transitive and finite, i.e.,  $X$  is in no minimal covering. Thus there is only one minimal covering.

**Definition 6.4.** Sometimes we write  $\chi \subset \varrho$  to mean  $\chi \subset \varrho^{[\pi]}$  for some  $\pi \in S_h$ . Because  $\text{pPOL}_k \varrho = \text{pPOL}_k \varrho^{[\pi]}$  we can assume  $\pi = \text{id}$  in most cases where  $\text{id}$  is the identity permutation in  $S_h$ .

Similarly if we write  $\chi \not\subseteq \varrho$  then  $\chi \not\subseteq \varrho^{[\pi]}$  for all  $\pi \in S_h$ .

**Lemma 6.5.** Let  $\varrho^{(h)} \in \mathcal{A} \cup \mathcal{Q}'_0 \cup \mathcal{L}$  and  $\chi^{(\mu)} \in (\mathcal{Q} \cup \mathcal{L} \cup \mathcal{S}) \setminus \{\varrho\}$ . Then  $\varrho \ll \chi$ .

*Proof.* Let  $\sigma := \sigma(\varrho)$  and  $\delta := \delta(\varrho)$ . Let  $f \in \text{pPOL}_k \varrho$  be arbitrary. If  $f \notin \text{pPOL}_k \chi$  then  $g := f$  fulfills the conditions of  $\varrho \ll \chi$ . Thus assume  $f \in \text{pPOL}_k \chi$ .

There are two cases:

$\mu \leq h$  or  $\chi \in \mathcal{S}$ :

Let  $g_0(\chi) := v$  (see Definition 4.1) for some  $v \in \varrho^{[\pi]} \setminus \chi$  if  $\chi \subset \varrho^{[\pi]}$  for some  $\pi \in S_h$  (w.l.o.g.  $\pi = \text{id}$ ) and  $v \in E_k^\mu \setminus \chi$  otherwise. Then  $g_0 \notin \text{pPOL}_k \varrho$ .

We have to show  $g_0 \in \text{pPOL}_k \varrho$ . Assume  $g_0^{(n)} \notin \text{pPOL}_k \varrho$ . Then there are some rows  $c_{1*}, \dots, c_{h*}$  with  $c_{*1}, \dots, c_{*n} \in \varrho$  and  $g_0(c_{*1}, \dots, c_{*n}) =: d \notin \varrho$ . Because of Lemma 5.3 all rows have to be different. Thus if  $\mu = h$  then  $\{c_{*1}, \dots, c_{*n}\} \subseteq \chi^{[\pi']}$  for some  $\pi' \in S_h$ .

There are some cases:

$\mu < h$ : Because  $g_0$  is only defined on  $\mu$  different rows Lemma 5.3 applies.

$\mu = h$  and  $\chi \subset \varrho$ : Then  $\pi' \in \Gamma_{\sigma(\varrho)}$  because  $\chi^{[\pi']} \subset \varrho$  and  $\chi \subset \varrho$ . Thus we have  $d = v^{[\pi']} \in \varrho$  because  $v \in \varrho$ .

$\mu = h$  and  $\chi \not\subseteq \varrho^{[\pi]}$  for all  $\pi \in S_h$ : Thus there is some  $j \in \{1, 2, \dots, n\}$  with  $c_{*j} \notin \varrho$  contradicting the assumption.

$\chi \in \mathcal{S}$  and  $\mu > h$ : Then  $E_k^h = \text{pr}_A \nu_k^\mu = \{c_{*1}, \dots, c_{*n}\} \subseteq \varrho$  contradicting that  $\varrho$  is coherent.

Thus  $g_0 \in \text{pPOL}_k \varrho$ .

Let  $G_0 := f \otimes g_0$  and  $L = 0$ . By construction  $G_0 \notin \text{pPOL}_k \chi$ .

$\mu > h$  and  $\chi \notin \mathcal{S}$ :

Let  $\sigma_0 := \sigma$  and define the relations  $\sigma_1, \sigma_2, \dots, \sigma_l, \sigma_{l+1}$  recursively until  $\sigma_{l+1} = \emptyset$  and  $\emptyset \notin \{\sigma_0, \sigma_1, \dots, \sigma_l\}$  hold.

Let  $\emptyset \subset \sigma_i \subseteq \sigma$  be given. Because  $\varrho$  is coherent, there is a relational homomorphism  $\varphi_i: E_k \rightarrow E_h$  from  $\sigma_i$  to  $M(\varrho)$  and an  $s_i \in \sigma_i$  with  $\varphi_i(s_i) = \eta_h$ . Let  $\sigma_{i+1} := \{s \in \sigma_i \mid \varphi_i(s) \in \delta \cap E_h^h\}$ . From  $\varphi_i(s_i) = \eta_h \notin \delta \cap E_h^h$  follows  $|\sigma_{i+1}| < |\sigma_i|$ . Because  $|\sigma|$  is finite there is an  $l \in \mathbb{N}$  with  $\sigma_{l+1} = \emptyset$ .

Define  $\varphi_*: E_h \rightarrow E_k$  by  $\varphi_*(\eta_h) := s_0$ . Then define for  $i \in \{0, 1, \dots, l\}$  the function  $q_i: E_k \rightarrow E_k$  with  $q_i(x) := \varphi_*(\varphi_i(x))$ . Then  $q_i$  is a relational homomorphism from  $\sigma_i$  to  $\varrho$ . For  $v := (v_0, v_1, \dots, v_{m-1}) \in E_k^m$  and  $m \in \{1, 2, \dots, k\}$  let

$$Q_{-1}(v) := \delta_{E_k^m}^{(m)},$$

$$Q_i(v) := Q_{i-1}(v) \cup \{(x_{v_0}, x_{v_1}, \dots, x_{v_{m-1}}) \in E_k^m \mid \varphi_i(a) = \varphi_i(b) \implies x_a = x_b\},$$

$$Q_{l+j}(v) := Q_l(v) \text{ for all } j \geq 1.$$

Because of  $|\varphi_i(E_k)| = h$  we have  $|\omega(x)| \leq h$  for all  $x \in Q_i(\eta_k)$ .

Let

$$\forall i \in \{0, 1, 2, \dots, l\} : g_i(\{\eta_k\} \cup Q_{i-1}(\eta_k)) := q_i(\eta_k),$$

$$\forall j \in \{1, 2, \dots, |E_k^k|\} : g_{l+j}(\{\eta_k\} \cup Q_l(\eta_k)) := w_j,$$

where  $\{w_1, w_2, \dots, w_{|E_k^k|}\} = E_k^k$ . Let  $L := l + |E_k^k|$ .

We now show  $g_i \in \text{pPOL}_k \varrho$  for  $i \in \{0, 1, \dots, L\}$ . Assume  $g_i^{(n)} \notin \text{pPOL}_k \varrho$ . Then there are rows  $c_{1*}, \dots, c_{h*}$  with  $c_{*1}, \dots, c_{*n} \in \varrho$  and  $g_i(c_{*1}, \dots, c_{*n}) =: d \in E_k^h \setminus \varrho$ . By construction of  $g_i$  we can w.l.o.g. assume that  $c' := c_{*1} = \text{pr}_{p_1, \dots, p_h} \eta_k$  with pairwise different coordinates  $p_1, \dots, p_h$ . Thus  $c' \in \sigma(\varrho)$ . There are two cases:

$c' \in \sigma_i$ : We have  $i \leq l$  since  $\sigma_{l+1} = \emptyset$ . Then  $d = g_i(c', c_{*2}, \dots, c_{*n}) = q_i(c') \in \varrho$  because  $q_i$  is a relational homomorphism from  $\sigma_i$  to  $\varrho$ . This is in contradiction to  $d \in E_k^h \setminus \varrho$ .

$c' \in \varrho \setminus \sigma_i$ : Then there is some  $j < i$  such that  $c' \in \sigma_j$  and  $c' \notin \sigma_{j+1}$  hold. Then  $\varphi_j(c') \in \sigma(E_k^h)$ . Thus

$$E_k^h = Q_j(c') \subseteq Q_{i-1}(c') = \text{pr}_{p_1, \dots, p_h} Q_{i-1}(\eta_k) = \{c_{*2}, \dots, c_{*n}\} \subseteq \varrho,$$

i.e.,  $\varrho = E_k^h$  in contradiction to  $\varrho$  coherent.

Thus no such  $c'$  can exist and therefore  $g_i \in \text{pPOL}_k \varrho$  for all  $i \in \{0, 1, \dots, L\}$ .

Let  $G_i := f \otimes g_0 \otimes g_1 \otimes \dots \otimes g_i$  for  $i \in \{0, 1, \dots, L\}$ .

We show  $G_L \notin \text{pPOL}_k \chi$ . If  $g_i \notin \text{pPOL}_k \chi$  for some  $i \in \{0, 1, \dots, L\}$ , then  $G_L \notin \text{pPOL}_k \chi$ . Otherwise  $Q_l(v) \subseteq \chi$  for some  $v \in \chi$  by construction of  $Q_l(v)$  and  $g_i \in \text{pPOL}_k \chi$  for  $i \in \{0, 1, \dots, L\}$ . Then  $g_{l+j}(\{v\} \cup \delta_{E_k^\mu}^{(\mu)} \cup Q_l(v)) \in \chi$  for all  $j \in \{1, 2, \dots, |E_k^k|\}$  and thus  $E_k^\mu \subseteq \chi$  in contradiction to  $\chi$  coherent.

Let  $G_{-1} = f$  and  $g = G_L$ . Now we show that  $g \in \text{pPOL}_k \varrho$  by induction over  $i \in \{-1, 0, 1, \dots, L\}$ .

The basis  $G_{-1} = f \in \text{pPOL}_k \varrho$  is given by choice of  $f$ .

The induction goes from  $i-1$  to  $i$  for  $i \in \{0, 1, \dots, L\}$ . Let  $G_{i-1} \in \text{pPOL}_k \varrho$ . We want to show  $G_i = G_{i-1} \otimes g_i \in \text{pPOL}_k \varrho$ . Let  $D := \text{dom } G_{i-1}$ ,  $E := \text{dom } g_i$  and  $D', E'$  the associated matrices (see Definition 4.1).

Assume  $G_i \notin \text{pPOL}_k \varrho$ . Then there are some rows  $c_{1*}, \dots, c_{h*}$  from  $D' \otimes E'$  with

$$F \begin{pmatrix} c_{1*} \\ \vdots \\ c_{h*} \end{pmatrix} =: \begin{pmatrix} s_1 \\ \vdots \\ s_h \end{pmatrix} =: s \notin \varrho \quad (6.1)$$

and

$$\forall j \in \{1, 2, \dots, N\} : c_{*j} \in \varrho. \quad (6.2)$$

By Lemma 5.3 all the rows  $c_{i*}$  are pairwise different.

Because  $G_{i-1} \in \text{pPOL}_k \varrho$  and  $g_i \in \text{pPOL}_k \varrho$  some rows have to be from the  $D$ -part and some from the  $E$ -part of  $D' \otimes E'$ .

Assume w.l.o.g.  $c_{1*}$  is from the  $D$ -part and  $c_{h*}$  is from the  $E$ -part. From  $\delta(\chi) \neq \emptyset$  and  $\chi$  coherent follows  $\delta_{E_\mu}^{(\mu)} \subseteq \delta(\chi) \subseteq \chi$ .

There are three cases:

$\varrho \in \mathcal{A}$ : Because  $E_k \subseteq c_{h*}$  there is some column  $j$  with  $c_{1j} = c_{hj}$  in contradiction to  $\varrho$  areflexive.

$\varrho \in \mathcal{Q}'_0$ : If  $h = 2$  then  $\{x\} \times E_k \subseteq \varrho$  in contradiction to  $\varrho \in \mathcal{Q}'_0$ , i.e.,  $\varrho$  does not fulfill the conditions of Lemma 5.5.

Let  $h \geq 3$  and  $i \in \{2, \dots, h-1\}$  be arbitrary. Because  $c_{1*} \neq c_{i*}$  there is column  $j$  with  $(c_{1j}, c_{ij}, c_{hj})^T = (x, y, y)^T$  and  $x \neq y$ . Because  $i$  is arbitrary and  $\delta(\varrho) = \delta_\varepsilon$  for some equivalence relation  $\varepsilon$  we get that  $(0, a) \notin \varepsilon = \varepsilon(\varrho)$  for all  $a \neq 0$ , i.e.,  $\varepsilon(\varrho)$  has a singular equivalence class contradicting  $\varrho \in \mathcal{Q}_0$ .

$\varrho \in \mathcal{L}$ : If  $c_{1*}, c_{2*}, c_{3*}$  (which are pairwise different) are from  $D$  and is  $c_{4*}$  from  $E$ , then there is there is a column  $c_{*j} = (x, y, z, w)^T \notin \varrho$  with  $|\{x, y, z\}| \geq 2$  and  $|\{x, y, z, w\}| = 3$  in contradiction to (6.2). Otherwise there is some column  $c_{*j} = (x, y, y, y)^T \notin \varrho$  with  $x \neq y$  contradicting (6.2).

Thus  $G_i \in \text{pPOL}_k \varrho$  and by induction  $g = G_L \in \text{pPOL}_k \varrho$ . Because  $g \notin \text{pPOL}_k \chi$  we get  $\varrho \ll \chi$ .  $\square$

**Lemma 6.6.** *Let  $\varrho^{(h)} \in \mathcal{Q}_1 \cup \mathcal{S}'$  and  $\chi^{(\mu)} \in \mathcal{S}$ . Then  $\varrho \ll \chi$ .*

*Proof.* Let  $f \in \text{pPOL}_k \varrho$  be arbitrary. If  $f \notin \text{pPOL}_k \chi$  then  $g := f$  fulfills the conditions of  $\ll$ . Thus assume  $f \in \text{pPOL}_k \chi$ .

Let  $g_\chi(\chi) := v$  (see Definition 4.1) for some  $v \in \varrho \setminus \chi$  if  $\chi \subseteq \varrho$  and  $v \in E_k^\mu \setminus \chi$  otherwise. Then  $g_\chi \in \text{pPOL}_k \varrho$  and let  $g := f \otimes g_\chi$ . We get  $g \notin \text{pPOL}_k \chi$  because  $g_\chi \notin \text{pPOL}_k \chi$ . Let  $D := \text{dom } f$  and  $E := \text{dom } g_\chi$  as in Definition 4.2,  $n = |D|$ ,  $m = |E|$  and  $N = |D| \cdot |E|$ .

It suffices to show  $F^{(N)} := (f \otimes g_\chi) \in \text{pPOL}_k \varrho$ . Assume this is false. Then there are some rows  $c_{1*}, \dots, c_{h*}$  from  $D \otimes E$  with  $s_i := F(c_{i*})$ ,  $s := (s_1, \dots, s_h)^T \notin \varrho$  and  $c_{*j} \in \varrho$  for all  $j \in \{1, 2, \dots, N\}$ . By Lemma 5.3 all the rows  $c_{i*}$  are pairwise different.

If all rows  $c_{i*}$  are from the  $D$ -part of  $D \otimes E$ , then  $f(c_{*1m}, c_{*2m}, \dots, c_{*nm}) = s \notin \varrho$  in contradiction to  $f \in \text{pPOL}_k \varrho$ . Thus one row is from the  $E$ -part.

Assume there are rows from both parts of  $D \otimes E$ . Then w.l.o.g.  $\varrho$  is given such that  $c_{1*}$  is from the  $D$ -part and  $c_{h*}$  is from the  $E$ -part. Let  $c_{i_1*}, \dots, c_{i_q*}$  with  $q < h$  the rows of the  $E$ -part. Then  $\delta_{E_q}^{(q)} \subseteq (c_{ij})_{i=i_1, \dots, i_q, j=1, \dots, N}$ .

There are two cases:

$\varrho \in \mathcal{Q}_1$ : Let  $c_{i_1*}, c_{i_2*}, c_{h*}$  be three pairwise different rows. Then there are columns  $j_1$  and  $j_2$  with  $(c_{i_1j_1}, c_{i_2j_1}, c_{hj_1})^\top = (x, y, x)^\top$ ,  $(c_{i_1j_2}, c_{i_2j_2}, c_{hj_2})^\top = (x, y, y)^\top$  and  $x \neq y$ . Thus  $(i_1-1, i_2-1), (i_1-1, h-1), (i_2-1, h-1) \notin \varepsilon(\varrho)$ . Because  $i_1 \neq i_2 \neq h$  are arbitrary it follows that  $\delta(\varrho) = \delta_{i_2}^{(h)} = E_k^h$  in contradiction to  $\varrho$  coherent.

$\varrho \in \mathcal{S}'$ : If only  $c_{1*}$  is from  $D$ , then  $\{x\} \times E_k^2 \subseteq \varrho$  for some  $x \in c_{1*}$ , and thus  $(x, y)^\top \times E_k \subseteq \varrho$  with  $x \neq y$ . If only  $c_{h*}$  is from  $E$  then  $(x, y)^\top \times E_k \subseteq \varrho$  with  $x \neq y$ ,  $x \in c_{1*}$  and  $y \in c_{2*}$ . Thus Lemma 5.4 applies contradicting  $\varrho \in \mathcal{S}'$ .  $\square$

**Lemma 6.7.** *Let  $\varrho^{(h)} \in \mathcal{Q}_1$  and  $\chi^{(\mu)} \in \mathcal{Q}_\varrho$ . Then  $\varrho \ll \chi$ .*

*Proof.* Let  $f \in \text{pPOL}_k \varrho$  be arbitrary. If  $f \notin \text{pPOL}_k \chi$  then  $g := f$  fulfills the conditions of  $\ll$ . Thus assume  $f \in \text{pPOL}_k \chi$ .

Let  $g_\chi(\chi) := v$  (see Definition 4.1) for some  $v \in E_k^\mu \setminus \chi$  and let  $g := f \otimes g_\chi$ . We get  $g \notin \text{pPOL}_k \chi$  because  $g_\chi \notin \text{pPOL}_k \chi$ . It suffices to show

$$F^{(N)} := (f \otimes g_\chi) \in \text{pPOL}_k \varrho. \quad (6.3)$$

Let  $D := \text{dom } f$  and  $E := \text{dom } g$  as in Definition 4.2,  $n = |D|$ ,  $m = |E|$  and  $N = |D| \cdot |E|$ .

Assume (6.3) is false. Then there are  $c_{1*}, \dots, c_{h*}$  from  $D \otimes E$  with  $s_i := F(c_{i*})$ ,  $s := (s_1, \dots, s_h)^\top \notin \varrho$  and  $c_{*j} \in \varrho$  for all  $j \in \{1, 2, \dots, N\}$ . By Lemma 5.3 all the rows  $c_{i*}$  are pairwise different.

If all rows  $c_{i*}$  are from the  $D$ -part of  $D \otimes E$ , then  $f(c_{*1m}, c_{*2m}, c_{*nm}) = s \notin \varrho$  in contradiction to  $f \in \text{pPOL}_k \varrho$ . Thus at least one row is from the  $E$ -part.

Let  $l := \|\varrho\|$ . Let w.l.o.g.  $\text{pp } \varrho$  be the first  $l$  rows of  $\varrho$ . Assume there is some row  $c_{i_1*}$  with  $1 \leq i_1 \leq l$  such that  $c_{i_1*}$  is not from the part of  $E$  representing  $\text{pp } \varrho$ . Let  $i_2 \neq i_1$  with  $1 \leq i_2 \leq l$  be arbitrary. Then there are columns  $j_1$  and  $j_2$  with  $(c_{i_1j_1}, c_{i_2j_1}, c_{hj_1})^\top = (x, y, x)^\top$ ,  $(c_{i_1j_2}, c_{i_2j_2}, c_{hj_2})^\top = (x, y, y)^\top$  and  $x \neq y$ . Thus  $(i_2-1, i_1-1) \notin \varepsilon(\varrho)$ , i.e., there is a singleton class in  $\varepsilon(\text{pp } \varrho)$  in contradiction to  $\text{pp } \varrho \in \mathcal{Q}_0$ .

So we need  $\text{pp } \chi \subseteq \text{pp } \varrho$  in contradiction to the definition of  $\mathcal{Q}_\varrho$ .  $\square$

**Definition 6.8.** Let  $f \in \tilde{P}_k^{(1)}$  be a unary function. Then we define recursively  $f^0 := e_1^{(1)}$  and  $f^n := f(f^{n-1})$  for all  $n \geq 1$ .

For the proof of Theorem 6.13 some lemmas are needed using the following condition on  $\varrho \in \mathcal{A}$ .

$$\begin{aligned} \exists \varphi \in \text{Pol}_k^{(1)} \varrho \forall l \in \{1, 2, \dots, h-1\} \forall D \subseteq \sigma(E_k^l) \forall v \in \sigma(E_k^{h-l}) \\ \forall \pi \in S_h \exists m \geq 0 : D \times \{\varphi^m(v)\} \not\subseteq \varrho^{[\pi]}. \end{aligned} \quad (6.4)$$

**Proposition 6.9.** *Let  $\varrho^{(h)} \in \mathcal{A}$  and  $\varrho$  fulfills (6.4). Then there is some  $\varphi' \in \text{Pol}_k^{(1)} \varrho$  which suffices the conditions in (6.4) and  $\varphi' \notin \text{pPOL}_k \{x\}$  for all  $x \in E_k$ .*

*Proof.* There is some  $\varphi \in \text{Pol}_k^{(1)} \varrho$  which fulfills (6.4). Let

$$\varphi'(x) = \begin{cases} y & \text{for some } y \in E_k \setminus \{x\}, \text{ if } x \in E_k \setminus \omega(\varrho), \\ \varphi(x) & \text{otherwise.} \end{cases}$$

Let  $x \in E_k \setminus \omega(\varrho)$ . If  $x \in \omega(D) \cup \omega(v)$  then  $D \times \{(\varphi')^0(v)\} = D \times \{v\} \not\subseteq \varrho^{[\pi]}$  for all  $\pi$ . Thus  $\varphi'$  fulfills the conditions of (6.4) because it coincides with  $\varphi$  on  $\omega(\varrho)$ .

Let  $x \in \omega(\varrho)$ . Then there is some  $D \subseteq \sigma(E_k^{h-1})$  with  $D \times \{x\} \subseteq \varrho^{[\pi]}$  for some  $\pi \in S_h$ . But there is some  $m \geq 0$  with  $D \times \{(\varphi')^m(x)\} \not\subseteq \varrho^{[\pi]}$  because of (6.4). Thus  $\varphi'(x) \neq x$ . For  $x \notin \omega(\varrho)$  follows  $\varphi'(x) \neq x$  by definition of  $\varphi'$ .  $\square$

**Lemma 6.10.** *Let  $\varrho^{(h)} \in \mathcal{A}$  and  $\varrho$  fulfills (6.4). Then  $\text{pPOL}_k \varrho$  is in every minimal covering of  $p.\mathcal{M}_k$ .*

*Proof.* Because of Lemma 6.5 we just have to find a function  $f \in \text{pPOL}_k \varrho$  with

$$\forall \chi \in (\mathcal{U} \cup \mathcal{A}) \setminus \{\varrho\} : f \notin \text{pPOL}_k \chi.$$

Then there is some function  $g \in \text{pPOL}_k \varrho$  with

$$\forall \chi \in \widetilde{\mathcal{R}}_k^{\max} \setminus \{\varrho\} : g \notin \text{pPOL}_k \chi$$

and by construction also  $g \notin P_k \cup C_\emptyset$ . Thus  $\text{pPOL}_k \varrho$  is in every minimal covering of  $p.\mathcal{M}_k$  by Lemma 3.2.

We will now construct the function  $f$  mentioned above.

We can assume  $\pi = \text{id} \in S_h$  in (6.4) because  $\text{pPOL}_k \varrho = \text{pPOL}_k \varrho^{[\pi]}$ . Because of Proposition 6.9 we can assume  $\varphi \notin \text{pPOL}_k \{x\}$  for all  $x \in E_k$ .

Let  $f_0 := \varphi$  and define  $f_j := f_{j-1} \otimes f_{\chi_j}$  recursively with

$$X := \{\chi_1, \dots, \chi_N\} := \{\chi \in \mathcal{U} \cup \mathcal{A} \mid \varphi \in \text{pPOL}_k \chi\}.$$

Let  $\chi^{(\mu)} = \chi_j \in X$ . There are two cases:

$\mu \leq h$ : Let  $f_\chi(\chi) := z$  (see Definition 4.1) with  $z \in \varrho \setminus \chi$  if  $\chi \subset \varrho$  and  $z \in E_k^\mu \setminus \chi$  otherwise. Then  $f_\chi \notin \text{pPOL}_k \chi$  and by construction  $f_\chi \in \text{pPOL}_k \varrho$ .

$\mu > h$ : Because  $\varrho$  is coherent there is a relational homomorphism  $\theta_0: E_k \rightarrow E_k$  from  $\varrho$  to  $M(\varrho)$ . Let  $\theta_1: E_h \rightarrow E_k$  with  $\theta_1(\eta_h) = v_1$  for some  $v_1 \in \varrho$ . Then  $\theta \in \text{pPOL}_k \varrho$  for  $\theta: E_k \rightarrow E_k$  with  $\theta(x) = \theta_1(\theta_0(x))$ .

Let  $v \in \chi$  be arbitrary and let  $f_\chi(\chi) := \theta(v)$  (see Definition 4.1). Because  $|\omega(\theta(v))| \leq h < \mu$  we have  $\theta \notin \text{pPOL}_k \chi$ , and thus  $f_\chi \notin \text{pPOL}_k \chi$ .

By construction  $\theta$  is a relational homomorphism from  $\varrho$  to  $\varrho$ . Thus  $f_\chi \in \text{pPOL}_k \varrho$ .

Because  $f_j = f_{j-1} \otimes f_{\chi_j}$  and  $f_{\chi_j} \notin \text{pPOL}_k \chi_j$  we get  $f_j \notin \text{pPOL}_k \chi_j$ .

Assume  $f_j^{(n)} \notin \text{pPOL}_k \varrho$ . Then there are rows  $c_{1*}, \dots, c_{h*}$  with  $c_{*1}, \dots, c_{*n} \in \varrho$  and  $f(c_{*1}, \dots, c_{*n}) = d \in E_k^h \setminus \varrho$ . Then the rows  $c_{i*}$  are pairwise different and some rows belong to the  $f_{j-1}$  part of  $f_j$  and some to the  $f_\chi$  part. The rows can w.l.o.g. be sorted in a way such that the first  $l$  rows for some  $l \in \{1, 2, \dots, h-1\}$  are from the  $f_{j-1}$  part of  $f_j = f_{j-1} \otimes f_{\chi_j}$ .

Let  $D := \text{pr}_{0, \dots, l-1} \{c_{*1}, \dots, c_{*n}\}$  and  $W := \text{pr}_{l, \dots, h-1} \{c_{*1}, \dots, c_{*n}\}$ . Because the rows  $c_{l*}, c_{l+1*}, \dots, c_{h-1*}$  are from the  $f_\chi$  part and  $f_\chi$  is only defined on  $\chi$  we get  $W = \text{pr}_{p_l, p_{l+1}, \dots, p_{h-1}} \chi$  for pairwise different  $p_i$ . Let  $v \in W \subseteq E_k$  be arbitrary. Then there is some  $v' \in \chi$  with  $v = \text{pr}_{p_l, p_{l+1}, \dots, p_{h-1}} v'$ . Thus  $\{\varphi^m(v) \mid m \geq 0\} \subseteq W$  because  $\varphi \in \text{pPOL}_k \chi$ , i.e.,  $\{\varphi^m(v') \mid m \geq 0\} \subseteq \chi$ .

But then  $D \times \{\varphi^m(v) \mid m \geq 0\} \subseteq \varrho$  in contradiction to (6.4).  $\square$

Let  $\Gamma' \subseteq S_h$  and  $l \leq h$ . Then we define  $\Gamma'_{|E_l} \subseteq S_l$  by

$$\Gamma'_{|E_l} := \{\pi \in S_l \mid \exists \pi' \in \Gamma' : (\forall x \in E_l : \pi(x) = \pi'(x)) \wedge (\forall x \in E_h \setminus E_l : \pi'(x) = x)\}.$$

**Lemma 6.11.** *Let  $\varrho^{(h)} \in \mathcal{A}$ ,  $\bar{\chi}^{(l)} \subseteq E_k^l$ ,  $V \subseteq E_k^{h-l}$ ,  $l \in \{1, \dots, h-1\}$  and  $\chi \times V \subseteq \varrho$ . Let  $\Gamma' := \{\pi \in \Gamma_\sigma \mid \forall x \in E_h \setminus E_l : \pi(x) = x\}$  and  $\chi' := \{c^{[\pi]} \mid c \in \bar{\chi}, \pi \in \Gamma'_{|E_l}\}$ .*

*Then  $\chi'$  is coherent and  $\chi' \times V \subseteq \varrho$ .*

*Proof.* From the definition of  $\Gamma'$  and  $\varrho$  coherent follows  $\chi' \times V \subseteq \varrho$ .

We now show that  $\chi'$  is coherent.

- If  $l \geq 2$  then  $\chi' \neq E_k^l$  because  $\chi' \subseteq \sigma(E_k^l) \subset E_k^l$ .

Let  $l = 1$  and  $\chi' = E_k^1 = E_k$ .

If  $h = 2$  then  $V \neq E_k$  because otherwise  $E_k^2 \subseteq \varrho$  contradicting  $\varrho$  coherent. Let  $V'$  with  $V \subseteq V' \subset E_k$  be maximal with respect to inclusion such that  $\chi' \times V' \subseteq \varrho$ . Because  $f \notin \text{pPOL}_k V'$  there are  $b_1, \dots, b_n \in V'$  and  $y \in E_k \setminus V'$  with  $f(b_1, \dots, b_n) = y$ . Then there is some  $x \in E_k$  such that  $(x, y)^T \notin \varrho$  and there are  $a_1, \dots, a_n \in E_k \setminus \{x\}$  with  $f(a_1, \dots, a_n) = x$  because  $f \notin \text{pPOL}_k(E_k \setminus \{x\})$  and  $E_k \setminus (E_k \setminus \{x\}) = \{x\}$ . Thus

$$f \begin{pmatrix} a_1 & \dots & a_n \\ b_1 & \dots & b_n \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} \notin \varrho$$

but  $(a_i, b_i)^T \in \varrho$  for all  $i \in \{1, \dots, n\}$  contradicting  $f \in \text{pPOL}_k \varrho$ .

If  $h \geq 3$  then  $\begin{pmatrix} x \\ w \end{pmatrix} \notin \varrho$  for all  $w \in V \subseteq \sigma(E_k^{h-l})$  and  $x \in \omega(w)$ .

Thus  $\chi' \neq E_k^l$ .

- $\chi' \subseteq \sigma(E_k^l)$ , i.e.,  $\chi'$  is areflexive and  $1 \leq l < k$ ,
- $r^{[\pi]} \in \chi'$  for all  $r \in \chi'$  and  $\pi \in \Gamma_{\chi'}$  because  $\pi \in \Gamma'$  for any  $\pi \in \Gamma_{\chi'}$ .
- $M(\chi') = \{\eta_i^{[\pi]} \mid \pi \in \Gamma_{\chi'} = \Gamma'_{|E_l}\}$ . Let  $\psi$  with  $\emptyset \subset \psi \subseteq \chi'$  and  $w \in V$  be arbitrary. Because  $\varrho$  is coherent there exists a relational homomorphism  $\lambda: E_k \rightarrow E_h$  from  $\psi \times \{w\}$  to  $M(\varrho)$  with

$$\lambda \begin{pmatrix} c \\ w \end{pmatrix} = \eta_h,$$

i.e.,  $\lambda(c) = \eta_l$ , for some  $c \in \psi$ . For any  $c' \in \psi$  we have

$$\lambda \begin{pmatrix} c' \\ w \end{pmatrix} \in M(\varrho) = \{\eta_h^{[\pi]} \mid \pi \in \Gamma_\sigma\}$$

and because  $\lambda(w) = (l, \dots, h-1)^T$  we get

$$\lambda \begin{pmatrix} c' \\ w \end{pmatrix} \in \{\eta_h^{[\pi]} \mid \pi \in \Gamma'\}$$

and thus  $\lambda(c') \in M(\chi')$ .

Let  $\lambda': E_k \rightarrow E_l$  be defined by

$$\lambda'(x) := \begin{cases} \lambda(x) & \text{if } x \in \omega(\chi'), \\ 0 & \text{otherwise.} \end{cases}$$



Then  $\lambda': E_k \rightarrow E_l$  is a relational homomorphism from  $\chi'$  to  $M(\chi')$  such that  $\lambda'(c) = \eta_l$  for some  $c \in \chi'$ .

Thus  $\chi'$  is coherent, i.e.,  $\chi' \in \mathcal{U} \cup \mathcal{A}$ .  $\square$

**Lemma 6.12.** *Let  $\varrho^{(h)} \in \mathcal{A}$  and  $\varrho$  does not fulfill (6.4). Then  $\text{pPOL}_k \varrho$  is in no minimal covering of  $\text{p}\mathcal{M}_k$ .*

*Proof.* Let  $f^{(n)} \in \text{pPOL}_k \varrho$  be arbitrary with

$$\forall \chi^{(\mu)} \in \mathcal{U} \cup \mathcal{A} : (\mu < h \implies f \notin \text{pPOL}_k \chi). \quad (6.5)$$

Let  $\varphi(x) := f(x, \dots, x)$ . Then  $\varphi \in \text{pPOL}_k^{(1)} \varrho$  and by (6.5) we have  $\varphi(x) \in E_k \setminus \{x\}$  for all  $x \in E_k$ , specifically  $\varphi \in \text{Pol}_k^{(1)} \varrho$ . Because (6.4) is false, there exist  $l, D = \{D_{*1}, \dots, D_{*|D|}\} \subseteq \sigma(E_k^l)$ ,  $v \in \sigma(E_k^{h-l})$  and  $\pi \in S_h$  with  $0 < l < h$  and

$$\forall m \geq 0 : D \times \{\varphi^m(v)\} \subseteq \varrho^{[\pi]}.$$

Because  $\text{pPOL}_k \varrho = \text{pPOL}_k \varrho^{[\pi]}$  we assume w.l.o.g.  $\pi = \text{id}$ , i.e.,

$$\forall m \geq 0 : D \times \{\varphi^m(v)\} \subseteq \varrho. \quad (6.6)$$

We show  $\varphi^m(v) \in \sigma(E_k^{h-l})$  for all  $m \geq 0$ . Assume otherwise. Then

$$\begin{pmatrix} D_{*1} \\ \varphi^m(v) \end{pmatrix} \in \iota_k^h$$

for some  $m$ , but this contradicts (6.6) because  $\varrho \subseteq \sigma(E_k^h)$ .

Because  $\sigma(E_k^{h-l})$  is finite, there are  $0 \leq m_1 < m_2$  such that  $\varphi^{m_1}(v) = \varphi^{m_2}(v)$ . Let  $V := \{\varphi^{m_1+m}(v) \mid m \geq 0\}$ . Then for any  $w \in V$  there is some  $w' \in V$  with  $\varphi(w') = w$ .

Let  $\chi \in \{\psi^{(\mu)} \in \mathcal{U} \cup \mathcal{A} \mid \mu = l\}$  with  $\chi \times V \subseteq \varrho$ . Then there are rows  $c_{*1}, \dots, c_{*n}$  with  $c_{*1}, \dots, c_{*n} \in \chi$  and  $f(c_{*1}, \dots, c_{*n}) =: d \in E_k^l \setminus \chi$ .

Let  $w' \in V$  arbitrary and  $w = \varphi(w') \in V$ . Then  $\chi \times \{w'\} \subseteq \varrho$  and

$$f \begin{pmatrix} c_{*1} & \dots & c_{*n} \\ w' & \dots & w' \end{pmatrix} = \begin{pmatrix} d \\ w \end{pmatrix} \in E_k^h,$$

i.e.,

$$\begin{pmatrix} d \\ w \end{pmatrix} \in \varrho$$

because  $f \in \text{pPOL}_k \varrho$ . Thus  $(\chi \cup \{d\}) \times V \subseteq \varrho$ . This also implies  $\chi \cup \{d\} \subseteq \sigma(E_k^l)$  as shown before.

Let  $\Gamma' := \{\pi \in \Gamma_\sigma \mid \forall x \in E_h \setminus E_l : \pi(x) = x\}$  and  $\chi' := \{c^{[\pi]} \mid c \in \chi \cup \{d\}, \pi \in \Gamma'_{|E_l}\}$ .

By Lemma 6.11 with  $\bar{\chi} = \chi \cup \{d\}$  we get  $\chi'$  coherent, i.e.,  $\chi' \in \mathcal{U} \cup \mathcal{A}$ , and  $\chi \subset \chi'$  with  $\chi' \times V \subseteq \varrho$ .

Now let  $\chi_0 := \{D_{*1}\}$  then  $\chi_0$  is coherent and  $\chi_0 \times V \subseteq \varrho$ . By the argument above there is an infinite chain  $\chi_0 \subset \chi_1 \subset \chi_2 \subset \dots$  with  $\chi_i \in \mathcal{U} \cup \mathcal{A}$  and  $\chi_i \times V \subseteq \varrho$  for all  $i \in \mathbb{N}$ . But this contradicts  $|\mathcal{U} \cup \mathcal{A}| < \infty$  and thus the assumption (6.5) is wrong.

Thus for any  $f \in \text{pPOL}_k \varrho$  there is some  $\chi^{(\mu)} \in \mathcal{U} \cup \mathcal{A}$  with  $\mu < h$  and  $f \in \text{pPOL}_k \chi$ . By induction there is some  $\psi^{(\mu')} \in \mathcal{U} \cup \mathcal{A}$  with  $\mu' \leq \mu$ ,  $f \in \text{pPOL}_k \psi$  and  $\text{pPOL}_k \psi$  is in every minimal covering of  $p\mathcal{M}_k$ .

Thus  $\text{pPOL}_k \varrho$  is in no minimal covering of  $p\mathcal{M}_k$ .  $\square$

**Theorem 6.13.** *Let  $\varrho^{(h)} \in \mathcal{A}$ . Then  $\text{pPOL}_k \varrho$  is in any minimal covering of  $p\mathcal{M}_k$  if and only if  $\varrho$  fulfills (6.4).*

*Proof.* If  $\varrho$  fulfills (6.4) then  $\text{pPOL}_k \varrho$  is in every minimal covering by Lemma 6.10. If  $\varrho$  does not fulfill (6.4) then  $\text{pPOL}_k \varrho$  is no minimal covering by Lemma 6.12.  $\square$

## 7. Uniqueness of minimal coverings

**Lemma 7.1.** *Let  $\mathcal{X}, \mathcal{Y}$  be two different minimal coverings of  $p\mathcal{M}_k$ . Then  $\text{pPOL}_k \varrho \in \mathcal{X}$  if and only if  $\text{pPOL}_k \varrho \in \mathcal{Y}$  for all  $\varrho \in \mathcal{U} \cup \mathcal{A}$ .*

*Proof.* By Lemma 5.2 we have  $\text{pPOL}_k \varrho \in \mathcal{X}$  and  $\text{pPOL}_k \varrho \in \mathcal{Y}$  for all  $\varrho \in \mathcal{U}$ . By Theorem 6.13

$$\forall \varrho \in \mathcal{A} (\text{pPOL}_k \varrho \in \mathcal{X} \iff \text{pPOL}_k \varrho \in \mathcal{Y}).$$

$\square$

**Lemma 7.2.** *Let  $\mathcal{X}, \mathcal{Y}$  be two different minimal coverings of  $p\mathcal{M}_k$ . Then  $\text{pPOL}_k \varrho \in \mathcal{X}$  if and only if  $\text{pPOL}_k \varrho \in \mathcal{Y}$  for all  $\varrho \in \mathcal{Q}_0 \cup \mathcal{L}$ .*

*Proof.* Assume this is false. Then there exists some  $\varrho \in \mathcal{Q}_0 \cup \mathcal{L}$  such that  $X := \text{pPOL}_k \varrho \in \mathcal{X} \setminus \mathcal{Y}$ . Because  $X$  is in some minimal covering of  $p\mathcal{M}_k$  we obtain  $\varrho \in \mathcal{Q}'_0 \cup \mathcal{L}$ . By Lemma 6.5 we have

$$\mathcal{X} := \{\text{pPOL}_k \psi \mid \psi \in \tilde{\mathcal{R}}_k^{\max} \wedge \varrho \ll \psi\} \supseteq \{\text{pPOL}_k \psi \mid \psi \in (\mathcal{Q} \cup \mathcal{L} \cup \mathcal{S}) \setminus \{\varrho\}\}.$$

Since  $\mathcal{X}$  is a minimal covering there exists some  $f \in X$  with  $f \notin X'$  for all  $X' \in \mathcal{X} \setminus \{X\}$ . By Lemma 6.2 there is some  $F \in X$  with  $F \notin Y$  for all  $X' \in \mathcal{X} \cup \mathcal{Y}$  and  $X' \neq X$ . Since  $\mathcal{Y}$  is a covering there is some  $Y \in \mathcal{Y}$  with  $F \in Y$ . But then  $Y \in \mathcal{Y} \setminus (\mathcal{X} \cup \mathcal{Y}) = (\mathcal{Y} \setminus \mathcal{X}) \setminus \mathcal{X} = (\mathcal{Y} \cap \{\text{pPOL}_k \chi \mid \chi \in \mathcal{U} \cup \mathcal{A}\}) \setminus \mathcal{X} = \emptyset$  by Lemma 7.1. This is a contradiction.  $\square$

**Lemma 7.3.** *Let  $\mathcal{X} \subseteq p\mathcal{M}_k$  be a minimal covering of  $p\mathcal{M}_k$ . Then  $\text{pPOL}_k \varrho \notin \mathcal{X}$  for all  $\varrho \in \mathcal{S} \setminus \mathcal{S}'$ .*

*Proof.* Assume  $X := \text{pPOL}_k \varrho \in \mathcal{X}$  for some  $\varrho \in \mathcal{S} \setminus \mathcal{S}'$ . Then there is some  $f \in X$  with  $f \notin Y$  for all  $Y \in \mathcal{X} \setminus \{X\}$ . Applying Lemma 5.4 recursively on  $X$  implies  $f \in \text{pPOL}_k \chi$  for some  $\chi \in \mathcal{U} \cup \mathcal{Q} \cup \mathcal{S}'$ . By Lemmas 6.5 and 6.6 there is some  $g \in \text{pPOL}_k \chi$  with  $g \notin Y$  for all  $Y \in \mathcal{X}$  in contradiction to  $\mathcal{X}$  minimal covering.  $\square$

**Lemma 7.4.** *Let  $\mathcal{X}, \mathcal{Y}$  be two different minimal coverings of  $p\mathcal{M}_k$ . Then  $\text{pPOL}_k \varrho \in \mathcal{X}$  if and only if  $\text{pPOL}_k \varrho \in \mathcal{Y}$  for all  $\varrho \in \mathcal{S}$ .*

*Proof.* Assume this is false. Then there is some  $\varrho \in \mathcal{S}$  with  $X := \text{pPOL}_k \varrho \in \mathcal{X} \setminus \mathcal{Y}$ . In particular is  $\varrho \in \mathcal{S}'$  by Lemma 7.3. Then there is some  $f \in X$  with  $f \notin X'$  for all  $X' \in \mathcal{X} \setminus \{X\}$ .

Then  $f \notin Y$  for all  $Y \in \mathcal{Y}$  with  $Y = \text{pPOL}_k \chi$  and  $\chi \in \mathcal{U} \cup \mathcal{A} \cup \mathcal{Q}_0 \cup \mathcal{L}$  by Lemmas 7.1 and 7.2. Thus there is some  $Z \in \mathcal{Y}$  with  $Z = \text{pPOL}_k \psi$  and  $\psi \in \mathcal{Q}_1 \cup \mathcal{S}'$  and  $f \in Z$ . By Lemma 6.6 there is some  $g \in Z$  with  $g \notin X$  and  $g \notin X'$  for all  $X' \in \mathcal{X} \setminus \{X\}$ , i.e.,  $g \notin X'$  for all  $X' \in \mathcal{X}$ . This contradicts  $\mathcal{X}$  minimal covering because  $g \in Z \in \mathcal{Y}$ .  $\square$

**Theorem 7.5.** *Let  $\mathcal{X}, \mathcal{Y}$  be two different minimal coverings of  $\mathcal{p}\mathcal{M}_k$ . Then  $\mathcal{X} \setminus \mathcal{Y} \subseteq \{\text{pPOL}_k \psi \mid \psi \in \mathcal{Q}_1\}$ .*

*Proof.* The theorem follows from Lemmas 7.1, 7.2 and 7.4, and Lemma 5.2 for the partial clone  $P_k \cup C_\emptyset$ .  $\square$

**Lemma 7.6.** *Let  $\mathcal{X}, \mathcal{Y}$  be different minimal coverings of  $\mathcal{p}\mathcal{M}_k$ . Furthermore let  $X := \text{pPOL}_k \varrho \in \mathcal{X} \setminus \mathcal{Y}$  for some  $\varrho \in \mathcal{Q}_1$ . Then there is some  $\chi \in \mathcal{Q}_1$  with  $Y := \text{pPOL}_k \chi \in \mathcal{Y} \setminus \mathcal{X}$  and  $\text{pp } \chi = \text{pp } \varrho$ .*

*Proof.* By  $\mathcal{X} \neq \mathcal{Y}$  and Theorem 7.5 we have  $\emptyset \subset \mathcal{X} \setminus \mathcal{Y} \subseteq \{\text{pPOL}_k \psi \mid \psi \in \mathcal{Q}_1\}$ . Let  $X := \text{pPOL}_k \varrho \in \mathcal{X} \setminus \mathcal{Y}$  be arbitrary with  $\varrho \in \mathcal{Q}_1$ . Then there is some  $f \in X$  with  $f \notin X'$  for all  $X' \in \mathcal{X} \setminus \{X\}$ . Then  $f \in Y$  with  $Y := \text{pPOL}_k \chi \in \mathcal{Y} \setminus \mathcal{X}$  for some  $\chi \in \mathcal{Q}_1$ .

Assume  $\text{pp } \chi \neq \text{pp } \varrho$ . Then  $\chi \in \mathcal{Q}_\varrho$  or  $\varrho \in \mathcal{Q}_\chi$ . If  $\chi \in \mathcal{Q}_\varrho$  then there is some  $g \in X$  with  $g \notin X'$  for all  $X' \in \mathcal{X} \setminus \{X\}$  and  $g \notin \text{pPOL}_k \chi$  by Lemma 6.7. Thus  $\varrho \in \mathcal{Q}_\chi$  has to be true. But then there is some  $G \in Y = \text{pPOL}_k \chi$  with  $G \notin X'$  for all  $X' \in \mathcal{X}$  again by Lemma 6.7 contradicting  $\mathcal{X}$  minimal covering.  $\square$

**Definition 7.7.** Let  $\varrho^{(h)} \in \mathcal{Q}_1$ . We call  $\varrho$  *irreducible* iff

$$\forall \emptyset \subset A \subset E_h \forall v \in \sigma(E_k^{h-|A|}) \forall \pi \in S_h : (\text{pr}_A \varrho) \times \{v\} \not\subseteq \varrho^{[\pi]}.$$

Otherwise we call it *reducible*.

**Example 7.8.** Let  $k = 4$  and  $h = 3$ . Let

$$\varrho = \begin{pmatrix} 0 & 0 \\ 1 & 2 \\ 2 & 3 \end{pmatrix} \cup \delta_{\{0,1\}}^{(3)}.$$

We show that  $\varrho$  is irreducible. There are three cases:

$|A| = 1$ : Then  $\text{pr}_A \varrho = E_4$  and  $v = (v_1, v_2) \in \sigma(E_4^2)$ . Assume  $(\text{pr}_A \varrho) \times \{v\} \subseteq \varrho^{[\pi]}$ .

Then  $(v_1, v_1, v_2), (v_2, v_1, v_2) \in \varrho^{[\pi]}$ . Thus  $\delta_{\{0,1\}}^{(3)} \cup \delta_{\{0,2\}} \subseteq \varrho$  because  $\varrho$  coherent.

But this contradicts  $\varrho \in \mathcal{Q}_1$ .

$A = \{0, 1\}$ : If  $\pi \neq \text{id}$  then  $\delta_X^{(3)} \subseteq \varrho$  with  $X \subset E_3$ ,  $|X| = 2$  and  $X \neq \{0, 1\}$  in contradiction to  $\varrho \in \mathcal{Q}_1$ . Thus  $\pi = \text{id}$ .

Because for all  $x \in E_k$

$$\begin{pmatrix} 0 & 0 \\ 1 & 2 \\ x & x \end{pmatrix} \not\subseteq \varrho \quad \text{and} \quad \text{pr}_A \varrho = \begin{pmatrix} 0 & 0 \\ 1 & 2 \end{pmatrix} \cup \delta_{\{0,1\}}^{(2)},$$

we get  $(\text{pr}_A \varrho) \times \{v\} \not\subseteq \varrho$ .

$|A| = 2$  and  $A \neq \{0, 1\}$ : Then  $\text{pr}_A \varrho = E_4^2$ . Let  $v = (x)$ . Assume that the inclusion  $(\text{pr}_A \varrho) \times \{v\} \subseteq \varrho^{[\pi]}$  holds. Then  $(x, y, x), (y, x, x), (y, y, x) \in \varrho^{[\pi]}$  and thus we have  $\iota_4^3 \subseteq \varrho^{[\pi]}$  because  $\varrho$  is coherent. But this contradicts  $\varrho \in \mathcal{Q}_1$ .

Thus  $\varrho$  is irreducible.

Now let

$$\varrho = \begin{pmatrix} 0 & 1 \\ 1 & 0 \\ 2 & 2 \end{pmatrix} \cup \delta_{\{0,1\}}^{(3)}.$$

Then  $\varrho$  is reducible because

$$(\text{pr}_A \varrho) \times \{v\} = \begin{pmatrix} 0 & 1 & 0 & 1 & 2 & 3 \\ 1 & 0 & 0 & 1 & 2 & 3 \\ 2 & 2 & 2 & 2 & 2 & 2 \end{pmatrix} \subseteq \varrho = \varrho^{[\pi]}$$

holds with  $A = \{0, 1\}$ ,  $v = (2)$  and  $\pi = \text{id}$ .

**Lemma 7.9.** *Let  $\varrho^{(h)} \in \mathcal{Q}_1$  be reducible. Then for every  $f \in \text{pPOL}_k \varrho$  there is some*

$$\chi \in \mathcal{X}_\varrho := \{\{a\} \mid a \in E_k\} \cup \{\psi^{(\mu)} \in \mathcal{Q} \mid \text{pp } \psi = \text{pp } \varrho \wedge \mu < h\}$$

with  $f \in \text{pPOL}_k \chi$ .

*Proof.* Let  $\sigma := \sigma(\varrho)$ . Assume there is some  $f^{(n)} \in \text{pPOL}_k \varrho$  such that  $f \notin \text{pPOL}_k \chi$  for all  $\chi \in \mathcal{X}_\varrho$ . Then  $f(x, \dots, x) \in E_k \setminus \{x\}$  for each  $x \in E_k$ .

Because  $\varrho$  is reducible there are some  $A$  with  $\emptyset \subset A \subset E_h$ , and  $\pi \in S_h$  and  $v \in \sigma(E_k^{h-|A|})$  such that

$$(\text{pr}_A \varrho) \times \{v\} \subseteq \varrho^{[\pi]}.$$

Because  $\text{pPOL}_k \varrho = \text{pPOL}_k \varrho^{[\pi]}$  we assume w.l.o.g.  $\pi = \text{id}$ .

We show that  $\text{pp } \text{pr}_A \varrho = \text{pp } \varrho$ . If  $|A| = 1$  then  $\text{pr}_A \varrho = E_k$  and thus  $E_k \times \{v\} \subseteq \varrho$ . This implies  $\delta_{\{0,i\}}^{(h)} \subseteq \varrho$  for all  $i \in E_h \setminus \{0\}$  contradicting  $\varrho \in \mathcal{Q}$ . Let  $|A| \geq 2$ . We know  $s_0 = (0, \dots, 0), s_1 = (1, \dots, 1) \in \delta(\text{pr}_A \varrho)$ . Then  $\{s_0, s_1\} \times \{v\} \subseteq \delta(\varrho)$ , i.e., for all  $i \in E_h$  and  $j \in E_h \setminus (A \cup \{i\})$  we get  $(i, j) \notin \varepsilon(\varrho)$ . Thus all non-singular classes of  $\varepsilon(\varrho)$  are covered by  $A$ , i.e., the projection  $\text{pr}_A$  preserves them, and this implies  $\text{pp } \text{pr}_A \varrho = \text{pp } \varrho$ .

We show that  $(\text{pr}_A \sigma) \times \{v\} \subseteq \sigma$ . Assume the contrary. Then there exists some  $s \in \text{pr}_A \sigma$  with  $\{s\} \times \{v\} \subseteq \delta(\varrho)$ . But this contradicts  $\text{pr}_A \delta(\varrho) \cap \text{pr}_A \sigma = \emptyset$  because  $\text{pp } \text{pr}_A \varrho = \text{pp } \varrho$ . So  $s \notin \text{pr}_A \delta(\varrho)$  in contradiction to the assumption. We proved  $(\text{pr}_A \sigma) \times \{v\} \subseteq \sigma$ , and thus  $\omega(\text{pr}_A \sigma) \cap \omega(v) = \emptyset$ .

Now we show that  $\gamma := \text{pr}_A \varrho \in \mathcal{Q}$ , i.e., that it is coherent. Let  $\theta \in \Gamma_{\sigma(\gamma)}$  and  $w \in \gamma$  arbitrarily. There is some  $\hat{w} \in \gamma$  with  $\hat{w}^{[\theta]} \in \gamma$ . Then  $\{\hat{w}, \hat{w}^{[\theta]}\} \times \{v\} \subseteq \varrho$ , i.e.,  $\theta \in \Gamma_\sigma$  and thus  $\{w, w^{[\theta]}\} \times \{v\} \subseteq \varrho$ . This implies  $w^{[\theta]} \in \gamma$ .

$M(\gamma) = \text{pr}_A M(\varrho)$  because  $\text{pp } \text{pr}_A \varrho = \text{pp } \varrho$ .

Let  $\gamma' \subseteq \sigma(\gamma)$ . Then  $\gamma' \times \{v\} \subseteq \sigma$ . Thus there is a relational homomorphism  $\varphi: E_k \rightarrow E_h$  from  $\gamma' \times \{v\}$  to  $M(\varrho)$  and some  $w \in \gamma'$  with  $\varphi \begin{pmatrix} w \\ v \end{pmatrix} = \eta_h$ . Let

$\hat{\varphi}: E_k \rightarrow E_{|A|}$  be given by

$$\hat{\varphi}(x) = \begin{cases} \varphi(x) & \text{if } \varphi(x) \in E_{|A|}, \\ 0 & \text{otherwise.} \end{cases}$$

Then  $\hat{\varphi}$  is a relational homomorphism from  $\gamma'$  to  $M(\gamma)$  with  $\hat{\varphi}(w) = \eta_{|A|}$ . Thus  $\gamma$  is a coherent relation and  $\gamma \in \mathcal{X}_\varrho$  because  $\text{pp } \gamma = \text{pp } \varrho$  and  $|A| < h$ . Since  $f \notin \text{pPOL}_k \chi$  for all  $\chi \in \mathcal{X}_\varrho$  there are rows  $c_{1*}, \dots, c_{|A|*}$  with  $c_{*1}, \dots, c_{*n} \in \gamma$  and  $f(c_{*1} \dots c_{*n}) = d \in E_k^{|A|} \setminus \gamma$ .

Then

$$f \begin{pmatrix} c_{*1} & \dots & c_{*n} \\ v & \dots & v \end{pmatrix} \in E_k^h \setminus \varrho,$$

i.e.,  $f \notin \text{pPOL}_k \varrho$  contradicting the assumption.  $\square$

**Proposition 7.10.** *Let  $\varrho^{(h)}, \chi^{(\mu)} \in \mathcal{Q}$  with  $\mu \geq 3$ ,  $f, g \in \text{pPOL}_k \chi$  with  $g(\varrho) \in E_k^h$ , and  $g$  is not defined anywhere else, and  $F^{(n)} := f \otimes g \notin \text{pPOL}_k \chi$ .*

*Then there are rows  $c_{1*}, \dots, c_{\mu*}$*

- (1) *with  $c_{*1}, \dots, c_{*n} \in \chi$  and  $F(c_{*1} \dots c_{*n}) = d \in E_k^\mu \setminus \chi$ , and*
- (2) *there is some  $j$  with  $c_{*j} \in \sigma(E_k^\mu)$ , and*
- (3) *the rows  $c_{1*}, \dots, c_{\|\chi\|*}$  belong to the  $g$ -part of  $F$ , and*
- (4) *if  $\text{pp } \varrho = \text{pp } \chi$ , then the rows  $c_{1*}, \dots, c_{\|\chi\|*}$  belong to the first  $\|\chi\|$  rows of the  $g$ -part of  $F$ .*

*Proof.* Statement (1) follows directly from  $F \notin \text{pPOL}_k \chi$ . Choose some rows  $c_{1*}, \dots, c_{\mu*}$  such that (1) holds.

(2): Assume (2) is false. Then  $\{c_{*1}, \dots, c_{*n}\} \subseteq \delta(\chi) = \delta_{\varepsilon(\chi)}$  contradicting all rows  $c_{i*}$  are pairwise different by Lemma 5.3. Thus for any two rows there is a column in which they differ.

(3): Because  $\varrho \in \mathcal{Q}$  we have  $\delta_{E_h}^{(h)} \subseteq \varrho$ . Because  $g \in \text{pPOL}_k \chi$  there is at least one row from the  $f$ -part of  $F$  and because  $f \in \text{pPOL}_k \chi$  there is at least one row from the  $g$ -part of  $F$ . Let  $c_{i_f*}$  be an arbitrary row from the  $f$ -part and  $c_{i_g*}$  be an arbitrary row from the  $g$ -part. Because  $\mu \geq 3$  there is a third row  $c_{i'*}$  different from  $c_{i_f*}$  and  $c_{i_g*}$ . Let  $c_{i'*}$  be arbitrary with this condition.

There are two cases to consider:

*The row  $c_{i'*}$  is from the  $f$ -part:* Then there is some column  $j$  in which the rows  $c_{i_f*}$  and  $c_{i'*}$ , i.e.,  $c_{i_f j} = x$ ,  $c_{i' j} = y$  and  $x \neq y$ . By construction and  $\varrho \in \mathcal{Q}$ , i.e.,  $\delta_{E_h}^{(h)} \subset \varrho$ , we can choose  $j$  more specifically such that

$$\begin{pmatrix} c_{i_f j} \\ c_{i' j} \\ c_{i_g j} \end{pmatrix} = \begin{pmatrix} x \\ y \\ y \end{pmatrix}.$$

*The row  $c_{i'*}$  is from the  $g$ -part:* Then there is some  $j$  with

$$\begin{pmatrix} c_{i_f j} \\ c_{i' j} \\ c_{i_g j} \end{pmatrix} = \begin{pmatrix} x \\ y \\ y \end{pmatrix}$$

and  $x \neq y$  by construction and  $\varrho \in \mathcal{Q}$ , i.e.,  $\delta_{E_h}^{(h)} \subset \varrho$ .

Thus  $(i_f, i_g), (i_f, i') \notin \varepsilon(\chi)$ . Because  $i_f, i_g$  and  $i'$  are chosen arbitrarily any row  $c_{i_f*}$  from the  $f$ -part belongs to a singular class of  $\varepsilon(\chi)$ . Because the first  $\|\chi\|$  rows of  $\chi$  belong to non-singular classes of  $\varepsilon(\chi)$  the first  $\|\chi\|$  rows  $c_{1*}, \dots, c_{\|\chi\|*}$  belong to the  $g$ -part of  $F$ . Thus (3) is true.

(4): Let  $\text{pp } \varrho = \text{pp } \chi$ . Assume one of the rows  $c_{1*}, \dots, c_{\|\chi\|*}$  does not belong to the first  $\|\chi\|$  rows of the  $g$ -part of  $F$ , w.l.o.g. let this be the row  $c_{1*}$ . As shown before  $c_{1*}$  belongs to the  $g$ -part of  $F$ . Because  $\text{pp } \varrho = \text{pp } \chi$  the row  $c_{1*}$  belongs to a singular class of  $\varepsilon(\varrho)$ . Now let  $c_{i_1*}, c_{i_2*}$  be two arbitrarily chosen different rows. Then there are three different cases:

*$c_{i_1*}$  and  $c_{i_2*}$  are both from the  $f$ -part:* Then they differ at some point and by construction we get columns  $c_{*j}, c_{*j'}$  with

$$\begin{pmatrix} c_{1j} & c_{1j'} \\ c_{i_1j} & c_{i_1j'} \\ c_{i_2j} & c_{i_2j'} \end{pmatrix} = \begin{pmatrix} x & y \\ x & x \\ y & y \end{pmatrix}$$

and  $x \neq y$ .

*$c_{i_1*}$  is from the  $f$ -part and  $c_{i_2*}$  from the  $g$ -part:* Then by construction and because  $c_{1*}$  belongs to a singular class of  $\varepsilon(\varrho)$  there is some column  $c_{*j}$  with

$$\begin{pmatrix} c_{1j} \\ c_{i_1j} \\ c_{i_2j} \end{pmatrix} = \begin{pmatrix} x \\ y \\ y \end{pmatrix}$$

and  $x \neq y$ .

*$c_{i_1*}$  and  $c_{i_2*}$  are both from the  $g$ -part:* Then because  $c_{1*}$  belongs to a singular class of  $\varepsilon(\varrho)$  there is some column  $c_{*j}$  with

$$\begin{pmatrix} c_{1j} \\ c_{i_1j} \\ c_{i_2j} \end{pmatrix} = \begin{pmatrix} x \\ y \\ y \end{pmatrix}$$

and  $x \neq y$ .

Thus for all cases  $(1, i_1), (1, i_2) \notin \varepsilon(\chi)$ . Because  $i_1$  and  $i_2$  are chosen arbitrarily the row  $c_{1*}$  belongs to a singular class of  $\varepsilon(\chi)$  in contradiction to the convention that the first  $\|\chi\|$  rows of  $\chi$  belong to the non-singular classes of  $\varepsilon(\chi)$ , see Definition 2.10. Thus (4) is true. □

**Definition 7.11.** Let  $\varrho \in \mathcal{Q}_1$ . Define  $\mathcal{T}_\varrho \subseteq \tilde{\mathcal{R}}_k^{\max}$  by

$$\mathcal{T}_\varrho := \{\psi \in \mathcal{Q}_1 \mid \text{pp } \psi = \text{pp } \varrho\}.$$

**Lemma 7.12.** Let  $\varrho \in \mathcal{Q}_1$ ,  $\mathcal{T} \subseteq \mathcal{T}_\varrho$ ,  $|\mathcal{T}| \geq 2$  and  $f \in \tilde{P}_k$  with

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T} : f \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T} : f \in \text{pPOL}_k \chi).$$

Then

(1) there are  $\chi_0 \in \mathcal{T}$  and  $F \in \text{pPOL}_k \chi_0$  with

$$\forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \{\chi_0\} : F \notin \text{pPOL}_k \psi,$$

or

(2) there are  $F \in \tilde{P}_k$  and  $\mathcal{T}' \subset \mathcal{T}$ ,  $\mathcal{T}' \neq \emptyset$  with

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

*Proof.* Assume (1) is false. By this assumption there exists some  $\psi_1 \in \mathcal{T}$  with  $f \in \text{pPOL}_k \psi_1$ . Then there is some  $\psi_2 \in \tilde{\mathcal{R}}_k^{\max} \setminus \{\psi_1\}$  with  $f \in \text{pPOL}_k \psi_2$  because (1) is false. Because  $f \notin \text{pPOL}_k \psi$  for all  $\psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}$  we get  $\psi_2 \in \mathcal{T}$ . Thus there are  $\psi_1^{(\mu_1)}, \psi_2^{(\mu_2)} \in \mathcal{T}$  with  $\psi_1 \neq \psi_2$  and  $f \in (\text{pPOL}_k \psi_1) \cap (\text{pPOL}_k \psi_2)$ . We can choose  $\psi_1$  such that  $\mu_1$  is minimal. This implies

$$\forall \chi \in \{\{a\} \mid a \in E_k\} \cup \{\psi^{(\mu)} \in \mathcal{Q} \mid \text{pp } \psi = \text{pp } \varrho \wedge \mu < \mu_1\} : f \notin \text{pPOL}_k \chi.$$

Thus  $\psi_1$  is irreducible because  $f \in \text{pPOL}_k \psi_1$  and Lemma 7.9.

Furthermore  $\mu_1 \leq \mu_2$ . If  $\mu_2 = \mu_1$  then  $\psi_2$  is also irreducible by the same argument.

We construct a function  $F^{(n)} := f \otimes g$  such that  $F \in \text{pPOL}_k \psi_1$  and  $F \notin \text{pPOL}_k \psi_2$  holds (or the other way round).

For any set  $E$  let  $\mathcal{P}(E) := \{A \subseteq E \mid A \neq \emptyset \wedge A \neq E\}$ .

There are the following cases:

$$\exists A \in \mathcal{P}(E_{\mu_1}) \exists v \in \sigma(E_k^{\mu_2 - |A|}) \exists \pi \in S_{\mu_2} : (\text{pr}_A \psi_1) \times \{v\} \subseteq \psi_2^{[\pi]}:$$

Without loss of generality  $\pi = \text{id}$ .

Assume to the contrary that  $\text{pp}(\text{pr}_A \psi_1) \neq \text{pp } \psi_1$  holds. Then the inequality  $\|(\text{pr}_A \psi_1) \times \{v\}\| = \|\text{pr}_A \psi_1\| < \|\psi_1\| = \|\psi_2\|$  holds in contradiction to the fact  $\delta(\text{pr}_A \psi_1) \times \{v\} \subseteq \delta((\text{pr}_A \varrho) \times \{v\}) \subseteq \delta(\psi_2)$ . Thus  $\text{pp}(\text{pr}_A \psi_1) = \text{pp } \psi_1 = \text{pp } \psi_2$ .

Let  $g(\text{pr}_A \psi_1) := d$  (see Definition 4.1) for some  $d \in E_k^{|A|}$  with the property  $g(\text{pp}(\text{pr}_A \psi_1)) \in E_k^{\|\psi_2\|} \setminus \text{pp } \psi_2$ . Then  $F \notin \text{pPOL}_k \psi_2$  because

$$F \underbrace{\left( \begin{array}{c} \text{pr}_A \psi_1 \\ v \end{array} \right)}_{\subseteq \psi_2} \in (E_k^{\|\psi_2\|} \setminus \text{pp } \psi_2) \times E_k^{\mu_2 - \|\psi_2\|} \subseteq E_k^{\mu_2} \setminus \psi_2.$$

We have  $g \in \text{pPOL}_k \psi_1$  because  $g$  is defined on less than  $\mu_1$  rows. Assume  $F \notin \text{pPOL}_k \psi_1$ . Then there are rows  $c_{1*}, \dots, c_{\mu_1*}$  with  $c_{*1}, \dots, c_{*n} \in \psi_1$  and the first  $\|\psi_1\|$  rows belong to the  $g$ -part of  $F$ , and a column  $c_{*j} \in \sigma(E_k^{\mu_1})$  by Proposition 7.10. Let w.l.o.g. the rows  $c_{1*}, \dots, c_{l*}$  belong to the  $g$ -part of  $F$  and  $c_{l+1*}, \dots, c_{\mu_1*}$  to the  $f$ -part of  $F$  with  $\|\chi\| \leq l < \mu_1$ . Then let

$$v := \begin{pmatrix} c_{l+1j} \\ \dots \\ c_{\mu_1j} \end{pmatrix}$$

and

$$C' := \text{pr}_{1,2,\dots,l}\{c_{*1}, \dots, c_{*n}\}.$$

Then  $C' = \text{pr}_{A'} \psi_1$  for some  $A' \in \mathcal{P}(E_{\mu_1})$  with  $A' \subseteq A$  by construction of  $g$ . By construction of  $F$  we get

$$(\text{pr}_{A'} \psi_1 \times \{v\}) = C' \times \{v\} \subseteq \{c_{*1}, \dots, c_{*n}\} \subseteq \psi_1$$

contradicting  $\psi_1$  irreducible.

Thus there is some  $\mathcal{T}'$  with  $\{\psi_1\} \subseteq \mathcal{T}' \subseteq \mathcal{T} \setminus \{\psi_2\}$  and

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

$$\mu_1 = \mu_2 \wedge \left( \exists A \in \mathcal{P}(E_{\mu_2}) \exists v \in \sigma(E_k^{\mu_1 - |A|}) \exists \pi \in S_{\mu_1} : (\text{pr}_A \psi_2) \times \{v\} \subseteq \psi_1^{[\pi]} \right):$$

This is a restriction of the previous case with the roles of  $\psi_1$  and  $\psi_2$  switched.

Thus there is some  $\mathcal{T}'$  with  $\{\psi_2\} \subseteq \mathcal{T}' \subseteq \mathcal{T} \setminus \{\psi_1\}$  and

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

$$\mu_1 < \mu_2 \wedge \left( \exists v \in \sigma(E_k^{\mu_2 - \mu_1}) \exists \pi \in S_{\mu_2} : \psi_1 \times \{v\} \subseteq \psi_2^{[\pi]} \right):$$

Without loss of generality  $\pi = \text{id}$ .

Because  $\psi_1$  is coherent there is some relational homomorphism  $\varphi: E_k \rightarrow E_{\mu_1}$  from  $\sigma(\psi_1)$  to  $M(\psi_1)$  and some  $s \in \sigma(\psi_1)$  with  $\varphi(s) = \eta_{\mu_1}$ . Define  $\varphi^*: E_{\mu_1} \rightarrow E_k$  by  $\varphi^*(\eta_{\mu_1}) = s$ .

Let

$$g((\sigma(\psi_1) \times \{v\}) \cup \delta(\psi_2)) := d := \varphi^* \left( \varphi \begin{pmatrix} s \\ v \end{pmatrix} \right)$$

(see Definition 4.1). Then  $g \in \text{pPOL}_k \psi_1$  by construction.

Assume  $g \in \text{pPOL}_k \psi_2$ . Then  $d \in \delta(\psi_2)$  because  $|\omega(d)| = |\omega(s)| = \mu_1 < \mu_2$ . But  $|\omega(\text{pr}_{E_{\|\psi_2\|}} d)| = \|\psi_2\|$  in contradiction to the assumption that the first  $\|\psi_2\|$  rows belong to the non-singular classes of  $\varepsilon(\psi_2)$ . Thus  $g \notin \text{pPOL}_k \psi_2$  and this implies  $F \notin \text{pPOL}_k \psi_2$ .

Because  $\sigma(\psi_1) \times \{v\} \subseteq \psi_2$  and the first  $\|\psi_1\|$  rows belong to the non-singular classes of  $\varepsilon(\psi_2)$  we get  $\sigma(\psi_1) \times \{v\} \subseteq \sigma(\psi_2)$  and thus  $\omega(v) \cap \omega(\sigma(\psi_1)) = \emptyset$ . Assume  $F \notin \text{pPOL}_k \psi_1$ . Then there are rows  $c_{1*}, \dots, c_{\mu_1*}$  with  $c_{*1}, \dots, c_{*n} \in \psi_1$  and  $F(c_{*1}, \dots, c_{*n}) \in E_k^{\mu_1} \setminus \psi_1$ . By Proposition 7.10 the rows  $c_{1*}, \dots, c_{\|\psi_1\|*}$  are the first rows in the definition of  $g$ . Thus the other rows can not belong to the last  $(\mu_2 - \mu_1)$  rows in the definition of  $g$  because  $\omega(v) \cap \omega(\sigma(\psi_1)) = \emptyset$ . Thus this part of the definition of  $g$  can be ignored here, and thus  $F \in \text{pPOL}_k \psi_1$  because  $\psi_1$  is irreducible.

Thus there is some  $\mathcal{T}'$  with  $\{\psi_1\} \subseteq \mathcal{T}' \subseteq \mathcal{T} \setminus \{\psi_2\}$  and

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

$$\mu_1 = \mu_2 \wedge \left( \exists \pi \in S_{\mu_2} : \psi_1 \subset \psi_2^{[\pi]} \right):$$

Without loss of generality  $\pi = \text{id}$ .

Let  $g(\psi_2) := d$  (see Definition 4.1) for some  $d \in E_k^{\mu_2} \setminus \psi_2$ . Because  $\text{pr}_A \psi_1 \subseteq \text{pr}_A \psi_2$  for all  $A \in \mathcal{P}(E_{\mu_1})$ ,  $\psi_1$  irreducible and  $g \in \text{pPOL}_k \psi_1$  we get  $F \in \text{pPOL}_k \psi_1$ . Furthermore  $g \notin \text{pPOL}_k \psi_2$  implies  $F \notin \text{pPOL}_k \psi_2$ .



Thus there is some  $\mathcal{T}'$  with  $\{\psi_1\} \subseteq \mathcal{T}' \subseteq \mathcal{T} \setminus \{\psi_2\}$  and

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

$$\mu_1 = \mu_2 \wedge \left( \exists \pi \in S_{\mu_1} : \psi_2 \subset \psi_1^{[\pi]} \right):$$

Analogous to the previous case because  $\psi_2$  is irreducible in this case. Thus there is some  $\mathcal{T}'$  with  $\{\psi_2\} \subseteq \mathcal{T}' \subseteq \mathcal{T} \setminus \{\psi_1\}$  and

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

*Otherwise :*

Then we have

$$\begin{aligned} & \forall A \in \mathcal{P}(E_{\mu_1}) \forall v \in \sigma(E_k^{\mu_2 - |A|}) \forall \pi \in S_{\mu_2} : (\text{pr}_A \psi_1) \times \{v\} \not\subseteq \psi_2^{[\pi]}, \\ & \mu_1 < \mu_2 \vee \left( \forall A \in \mathcal{P}(E_{\mu_2}) \forall v \in \sigma(E_k^{\mu_1 - |A|}) \forall \pi \in S_{\mu_1} : (\text{pr}_A \psi_2) \times \{v\} \not\subseteq \psi_1^{[\pi]} \right), \\ & \mu_1 = \mu_2 \vee \left( \forall v \in \sigma(E_k^{\mu_2 - \mu_1}) \forall \pi \in S_{\mu_2} : \psi_1 \times \{v\} \not\subseteq \psi_2^{[\pi]} \right), \\ & \mu_1 < \mu_2 \vee \left( \forall \pi \in S_{\mu_2} : \psi_1 \not\subseteq \psi_2^{[\pi]} \right), \\ & \mu_1 < \mu_2 \vee \left( \forall \pi \in S_{\mu_1} : \psi_2 \not\subseteq \psi_1^{[\pi]} \right). \end{aligned}$$

Let  $g(\psi_1) := d$  (see Definition 4.1) for some  $d \in E_k^{\mu_1} \setminus \psi_1$ . Because  $\psi_2 \not\subseteq \psi_1^{[\pi]}$  for all  $\pi \in S_{\mu_1}$ , and  $\mu_1 \leq \mu_2$  we have  $g \in \text{pPOL}_k \psi_2$ . Assume  $F^{(n)} = f \otimes g \notin \text{pPOL}_k \psi_2$ . Then there are  $c_{1*}, \dots, c_{\mu_2*}$  with  $c_{*1}, \dots, c_{*n} \in \psi_2$  and  $F(c_{*1}, \dots, c_{*n}) \notin \psi_2$  and the rows  $c_{1*}, \dots, c_{\|\psi_2\|*}$  belong to the  $g$ -part of  $F$  by Proposition 7.10, i.e., one of the following cases apply

- there is some  $A \subset E_{\mu_1}$  and  $v \in \sigma(E_k^{\mu_2 - |A|})$  with  $(\text{pr}_A \psi_1) \times \{v\} \subseteq \psi_2$  contradicting the first assumption, or
- $\mu_1 < \mu_2$  and there is some  $v \in \sigma(E_k^{\mu_2 - |A|})$  with  $\psi_1 \times \{v\} \subseteq \psi_2$  contradicting the third assumption.

Thus  $F \in \text{pPOL}_k \psi_2$ . Furthermore  $F \notin \text{pPOL}_k \psi_1$  because  $g \notin \text{pPOL}_k \psi_1$ .

Thus there is some  $\mathcal{T}'$  with  $\{\psi_2\} \subseteq \mathcal{T}' \subseteq \mathcal{T} \setminus \{\psi_1\}$  and

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

Thus in every case there is some  $\mathcal{T}' \subset \mathcal{T}$  with

$$\left( \forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi \right) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi),$$

i.e., (2) is true.  $\square$

**Theorem 7.13.** *For every  $k \geq 2$  there is exactly one minimal covering of  $p\mathcal{M}_k$ .*

*Proof.* For  $k = 2$  one finds this statement in [4]. Thus we can assume  $k \geq 3$ . Assume the statement is false. Then there are pairwise different minimal coverings  $\mathcal{X}_1, \dots, \mathcal{X}_l$  with  $l \geq 2$ . Choose  $\varrho \in \tilde{\mathcal{R}}_k^{\max}$  with  $\text{pPOL}_k \varrho \in \mathcal{X}_1 \setminus \mathcal{X}_2$  arbitrarily. Then  $\varrho \in \mathcal{Q}_1$  because of Theorem 7.5. Let

$$\mathcal{T} := \{\psi \in \mathcal{Q}_1 \mid \text{pp } \psi = \text{pp } \varrho \wedge (\exists a, b \in \{1, \dots, l\} : \text{pPOL}_k \psi \in \mathcal{X}_a \setminus \mathcal{X}_b)\} \subseteq \mathcal{T}_\varrho.$$

Then  $\varrho \in \mathcal{T}$  and  $|\mathcal{T}| \geq 2$  by Lemma 7.6. Additionally there is some  $f \in \tilde{P}_k \setminus (P_k \cup C_\emptyset)$  with

$$(\forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T} : f \notin \text{pPOL}_k \psi) \wedge (\exists \chi \in \mathcal{T} : f \in \text{pPOL}_k \chi). \quad (7.1)$$

Otherwise  $\text{pPOL}_k \varrho$  would be in no minimal covering contradicting the assumption.

Now we can assume that  $\hat{\mathcal{T}} \subseteq \mathcal{T}$  has minimal size  $|\hat{\mathcal{T}}| \geq 2$  and fulfills (7.1) (with  $\hat{\mathcal{T}}$  instead of  $\mathcal{T}$ ).

By Lemma 7.12 there are two cases:

- There are  $\chi_0 \in \hat{\mathcal{T}}$  and  $F \in \text{pPOL}_k \chi_0$  with

$$\forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \{\chi_0\} : F \notin \text{pPOL}_k \psi.$$

Then  $\text{pPOL}_k \chi_0$  is in every minimal covering of  $p\mathcal{M}_k$  by Lemma 3.2 in contradiction to the definition of  $\mathcal{T}$  and the assumption.

- There are  $F \in \tilde{P}_k$ ,  $\mathcal{T}'$  with  $\emptyset \subset \mathcal{T}' \subset \hat{\mathcal{T}}$  and

$$(\forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \mathcal{T}' : F \notin \text{pPOL}_k \psi) \wedge (\exists \chi \in \mathcal{T}' : F \in \text{pPOL}_k \chi).$$

Because  $\hat{\mathcal{T}}$  is minimal under the condition  $|\hat{\mathcal{T}}| \geq 2$  we conclude  $|\mathcal{T}'| = 1$ . Then  $\mathcal{T}' = \{\chi_0\}$ ,  $F \in \text{pPOL}_k \chi_0$  and

$$\forall \psi \in \tilde{\mathcal{R}}_k^{\max} \setminus \{\chi_0\} : F \notin \text{pPOL}_k \psi.$$

Thus  $\text{pPOL}_k \chi_0$  is in every minimal covering of  $p\mathcal{M}_k$  by Lemma 3.2, in contradiction to the definition of  $\mathcal{T}$  and the assumption.

Thus there are no two different minimal coverings of  $p\mathcal{M}_k$ .  $\square$

Let  $p\mathcal{C}_k$  be the unique minimal covering of  $p\mathcal{M}_k$ . Using the uniqueness of minimal coverings we can improve the statements of Lemmas 3.3 and 3.2.

**Lemma 7.14.** *Let  $C \in p\mathcal{M}_k$  and  $\mathcal{C} \subseteq p\mathcal{M}_k \setminus \{C\}$  such that for all  $f \in C$  there is some  $C' \in \mathcal{C}$  with  $f \in C'$ . Then  $C \notin p\mathcal{C}_k$ .*

*Proof.* Assume  $C$  is in the minimal covering  $p\mathcal{C}_k$  of  $p\mathcal{M}_k$ . Let  $\mathcal{Y} := (p\mathcal{C}_k \setminus \{C\}) \cup \mathcal{C}$ . Then  $\mathcal{Y}$  is a covering of  $p\mathcal{M}_k$  because for all  $f \in X \in p\mathcal{M}_k$  there is

- some  $Y \in p\mathcal{C}_k \setminus \{C\}$  with  $f \in Y$ , or
- $f \in C$  and then there is some  $Y \in \mathcal{C}$  with  $f \in Y$ .

Then there is some minimal covering  $\tilde{\mathcal{Y}} \subseteq \mathcal{Y}$  of  $p\mathcal{M}_k$ . But  $\mathcal{Y} \cap p\mathcal{C}_k \subset p\mathcal{C}_k$  and thus  $\tilde{\mathcal{Y}} \neq p\mathcal{C}_k$  contradicting Theorem 7.13.  $\square$

**Lemma 7.15.** *Let  $C \in p\mathcal{M}_k$ . Then*

$$C \in p\mathcal{C}_k \iff (\exists f \in C \forall B \in p\mathcal{M}_k \setminus \{C\} : f \notin B).$$

*Proof.* We split the proof into two directions:

$\Leftarrow$ : Follows from Lemma 3.2 and Theorem 7.13.

$\Rightarrow$ : Let  $C \in p\mathcal{C}_k$ . Assume,

$$\forall f \in C \exists B \in p\mathcal{M}_k \setminus \{C\} : f \in B.$$

By Lemma 7.14 with  $\mathcal{C} = p\mathcal{M}_k \setminus \{C\}$  follows  $C \notin p\mathcal{C}_k$  in contradiction to the assumption.  $\square$

**Lemma 7.16.** *Let  $\varrho^{(h)} \in \mathcal{Q}_1$  be reducible. Then  $\text{pPOL}_k \varrho$  is not in the minimal covering  $\mathcal{p}\mathcal{C}_k$  of  $\mathcal{p}\mathcal{M}_k$ .*

*Proof.* This follows directly from Lemma 7.9 with the help of Lemma 7.14. □

### 8. Conclusion

The minimal coverings for  $k = 2, 3, 4$  have been given and shown to be unique in [4], [2] and [14] respectively. In following table the sizes of these minimal coverings  $\mathcal{p}\mathcal{C}_k$  are given with respect to the number of all maximal partial clones  $|\mathcal{p}\mathcal{M}_k|$ .

$k$	$ \mathcal{p}\mathcal{M}_k $	$ \mathcal{p}\mathcal{C}_k $
2	8	4
3	58	26
4	1 102	449

We have now shown that the minimal coverings of  $\mathcal{p}\mathcal{M}_k$  are unique for each  $k \geq 2$ . Many elements of the minimal coverings have been determined (see e.g. [2, 16]) and for some maximal partial clones we have shown in this paper that they are not in a minimal covering (see Lemmas 5.5, 7.3 and 7.16). Furthermore for maximal partial clones  $\text{pPOL}_k \varrho$  with  $\varrho \in \mathcal{A}$  we have a criterion which only needs to check the functions from  $\text{Pol}_k^{(1)} \varrho$  to see if  $\text{pPOL}_k \varrho$  belongs to  $\mathcal{p}\mathcal{C}_k$  (see Theorem 6.13). Still many elements of the minimal coverings have to be determined, and it seems to be a very hard problem, especially for the relations  $\mathcal{Q}_1$ .

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